

# राष्ट्रीय प्रौद्योगिकी संस्थान मणिपुर NATIONAL INSTITUTE OF TECHNOLOGY MANIPUR

Imphal, Manipur, Ph.(0385) 2058566 / 2445812 E-mail : <u>director@nitmanipur.ac.in</u>, Website : <u>www.nitmanipur.ac.in</u> An Autonomous Institute under Ministry of Education, Govt. of India.

No.NITM.1/(2a-Estt)/MP/REG/2019/R-78

Imphal, the 28<sup>th</sup> November, 2023

## ADVERTISEMENT FOR RECRUITMENT OF FACULTY

National Institute of Technology Manipur invites applications from Indian Nationals possessing excellent academic background for recruitment of the following Faculty positions:

Sl.	Name of Deet	Pay Level	Total No. of	Reservation				
No.	Name of Post	(7 <sup>th</sup> CPC)	vacant Posts	UR	OBC	ST	SC	EWS
1	Professor	14A	04	01	02	0	01	0
2	Associate Professor	13A2	04	01	0	0	02	01
3	Assistant Professor (AGP-8000)	12						
4	Assistant Professor (AGP-7000)	11	01	01	0	0	0	0
5	Assistant Professor (AGP-6000)	10						
		TOTAL	09	03	02	0	03	01

## **DETAILS OF VACANCIES**

Reservations for (SC/ST/OBC) are applicable as per Government of India Orders. The duly filled in Application Form in prescribed format, along with all supporting documents, annexure, duly self-attested must be submitted in hard copy to 'The Registrar, National Institute of Technology Manipur, Langol, Imphal West – 795004' on or before 3:00 p.m. of 28/12/2023. Detailed advertisement, qualifications, experience etc. for application will be available on the institute website www.nitmanipur.ac.in.

Sd/-(Prof. Kh. Tomba Singh) Registrar, NIT Manipur



# राष्ट्रीय प्रौद्योगिकी संस्थान मणिपुर NATIONAL INSTITUTE OF TECHNOLOGY MANIPUR

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## **RECRUITMENT FOR FACULTY POSITION**

Applications are invited in the prescribed Application Form from Indian Nationals possessing consistently good academic records and requisite qualifications and experience, with commitment to work, passion for teaching and research and an inclination towards Institutional development, for recruitment of Faculty in various Departments of NIT Manipur. Detailed Educational Qualifications, Experience and other criteria for selection shall be as per the Schedule "E" of the Statutes of NITs (Ref. Gazette of India No. 651 dated 24.07.2017), the Central Education Institutions (Reservation in Teachers' Cadre) Act, 2019 and clarifications received from the MHRD vide F. No. 33-9/2011-TS.III dated 16<sup>th</sup> April, 2019, F.No. 20-2/2022-TS.III dated 27.04.2022 in the Recruitment Rules for Faculty of NITs.

### I. VACANCY POSITIONS (including Reservation):

			Total	Reservation						
Sl. No.	Name of Post	Pay Level (7 <sup>th</sup> CPC)	No. of vacant Post	UR	OBC	ST	SC	EWS	Name of Dept.	Specialization
1	Professor	14A	04	01	02	0	01	0	CSE-1 EE-1 ECE-1 ME-1	Open
2	Associate Professor	13A2	04	01	0	0	02	01	CSE-1 EE-1 ECE-1 ME-1	Open
3	Assistant Professor (AGP-8000)	12								
4	Assistant Professor (AGP-7000)	11	01	01	0	0	0	0	EE-1	EE/EEE
5	Assistant Professor (AGP-6000)	10								
		TOTAL	09	03	02	0	03	01		

## Note:

- 1. Reservations of the posts have been made in compliance with the provisions of the Central Education Institutions (Reservation in Teachers' Cadre) Act, 2019 and guidelines issued by the Ministry of Education, Government of India from time to time.
- 2. The serving faculty members of NIT Manipur may apply for the eligible positions, based on their present qualifications and eligibility vide Note 1(5) of Schedule "E" of the First Statutes of NITs (Amended) Statutes, 2017 dated 21.07.2017.

## GENERAL TERMS AND CONDITIONS:

- 1) The age, educational qualifications, experience, number of papers published, honours and other achievements are to be stated strictly with reference to the last date of submission of the application form. Any of the achievements beyond this date will not be considered. It may also be noted that for each of the qualifications, professional experiences and achievements, documentary proof in the form of **self attested photo-copies to be attached**.
- 2) Serving Faculty members of the NIT Manipur may also apply for higher Academic Grade Pay or cadre as per Gazette Notification NIT (Amendment) Act 2017 for the faculty positions prescribed by the Ministry of HRD, Govt. of India, New Delhi vide their letter No.F.No.35-5/2017- TS.III dated 28<sup>th</sup> July, 2017 under Schedule "E" Note 1(5) and Amendment in Statues of NITs and IIEST, Shibpur vide F. No. 35-5/2017-TS.III dated 27<sup>th</sup> October, 2020, even if regular vacancy does not exist in the respective Department(s).
- 3) Candidates are required to go through the under mentioned four documents along with this Advertisement before filling the prescribed Application Form:
- (i) Gazette of India No. 651, dated July, 24, 2017 from Ministry of HRD, Govt. of India, New Delhi vide their letter No.F.No.35-5/2017- TS.III dated 28<sup>th</sup> July, 2017. Copy at Annexure - I.
- (ii) MHRD clarification as per Oversight Committee resolution vide F.No.33-9/2011/TS.III dated 16<sup>th</sup> April 2019. Copy at Annexure - II.
- (iii) Amendment in Statues of NITs and IIEST, Shibpur vide F. No. 35-5/2017-TS.III dated 27<sup>th</sup> October, 2020 as approved and implemented by BOG, NIT Manipur in its 22<sup>nd</sup> meeting dated 29/10/2020. Copy at Annexure - III.
- (iv) Ministry of Education clarification on applicability of clause (5) of Note 1 referred in Schedule 'E' of the Statutes of NITs and IIEST, Shibpur vide F.No. 20-2/2022-TS.III dated 27.04.2022. Copy at Annexure – IV.
  - 4) This being an advertisement governed by the 4 Tier Flexible Faculty Structure, relevant instructions contained in the 4 Tier structure shall be strictly applicable. Further orders and instructions issued by the Govt. of India, Ministry of Education in this regard from time to time till the date of interview will also be applicable.
  - 5) Vide implementation of the 4 Tier Flexible Faculty Structure vide Letter No. F. 33-9/2011-TS.III dated 15.01.2014 (copy at Annexure V), Policy on Avoiding In-Breeding:
  - a) Candidates who have obtained or are expected to obtain their most recent degree (Ph.D) from the Institute will normally not be considered for recruitment, except where there is a 3 years gap (approximately) between leaving the Institute and the expected date of joining.
  - b) This is not applicable for those who are already members of the faculty, either regular or on contract, and are pursuing a higher degree in the Institute.

- 6) Experience: Experience as per clarification on "Institution of Repute" vide Para No. 7 of Govt. of India, MHRD Letter No. F. No 33-9/2011-TS-III, dated 16<sup>th</sup> April, 2019, (including prior to implementation of NIRF) shall be considered if acquired in any of the following Institutions:
- > Fully Funded Central Educational Institutions.
- > IIMs & Other management Institutions ranked by NIRF up to 50 for any two years.
- > State Educational Institutions funded by respective State Government.
- Other Educational Institutions ranked by NIRF up to 100 in Overall, Universities, Engineering and 10 for Architecture, for any two years.
- International / National experience as Post-Doctoral Fellow with National Agencies of respective countries and Post-Doctoral Fellowships offered by Institution, which are in QS / THE World Rankings up to 500 will be considered for the post of Assistant Professor (AGP 7000) as per clarification no. 2, issued by Oversight Committee. (GoI, MHRD, F. No. 33- 9/201-TS-III, dated 16<sup>th</sup> April, 2019.

Experience as mentioned above and as per RRs in an Institution/organization will only be considered for calculating total experience.

- 7) The period of experience rendered by a candidate on part time basis, daily wages, visiting faculty, guest faculty will not be counted while counting the valid experience for short listing the candidate/selection committee.
- 8) Contribution to Institute / Department Administration shall be recommended by concerned Head and approved by the Head of the Institution. Otherwise, weightage of Administrative experience for evaluating the Credit Points may not be considered for determining the eligibility. No correspondence shall be entertained in this regard. [As prescribed in note 1, point no. 4 of Schedule 'E' of NITs Statutes (vide Gazette of India No. 651, dated July 24, 2017)]
- 9) The Institute reserves the right to shortlist candidates in allied specialization with superior academic record, appropriate course background and relevant research experience.
- 10) NIT Manipur follows the 4 Tier Flexible Faculty Structure. The total number of vacancies in each Department is provided separately with Institute's Reservation Roster Register / Reservation Register. However, the numbers are tentative and the Institute reserves the right, to change the number of vacancies for those under Open Advertisement and / or Movement in Higher Academic Grade Pay / Cadre Open for Serving Faculty of NIT Manipur /to cancel the recruitment process of the Employment Notice in partial or in full, without assigning any reasons, whatsoever.
- 11) The decision of the Committees involved in the selection process is final and binding. No interim correspondence/inquiries will be entertained from the candidates in connection with the process of short-listing. Any dispute with regard to selection process will be subject to the High Court of Manipur, Imphal.

### 12) Movement in Higher Academic Grade Pay or Cadre:

For Departments not having any vacancy at any higher Pay Level, movement to higher Pay Level shall be carried out as per prescribed selection process but it will be **restricted to only for serving Faculty members of NIT Manipur** in the respective Department (As prescribed in Note 1, Point No. 5 of Schedule "E" of NIT Statutes (Amended 2017) (Ref. Gazette of India No. 651, dated July 24, 2017)]. **Copy at Annexure – I** and Para No. 3 of Govt. of

India Ministry of Education Letter No. F.No. 33-9/2011-TS.III dated 30.11.2017 & Sl. No. 10 of the **Appendix-A1** of the said letter. **Copy at Annexure – VI** and Ministry of Education clarification on applicability of clause (5) of Note 1 referred in Schedule 'E' of the Statutes of NITs and IIEST, Shibpur vide F.No. 20-2/2022- TS.III dated 27.04.2022. **Copy at Annexure – IV.** 

- 13) The Govt. of India strives to have a workplace which reflects gender balance and **Women** Candidates are encouraged to apply.
- 14) Separate application with enclosures for each Department is required for the candidates applying for more than one department.
- 15) Self-attested copies of all Certificates, Semester Wise Mark Sheets, Testimonials etc. should be attached with the Application Form and Originals shall be produced at the time of interview/ Selection Committee and at the time of joining, if selected. The selected candidates shall produce, in original, the Matriculation or equivalent Certificate as proof of the date of birth. No other evidence will be accepted.
- 16) Documents, enclosed with the prescribed Application Form may securely be tagged to avoid loss in handling and photographs may be properly affixed and self-attested.
- 17) Candidates are requested to provide their active e-mail address/Mobile phone number/Telephone number in the application form for ease of contact. Any change in the correspondence address, mobile/telephone number and email address shall be communicated to the Registrar, NIT Manipur, in writing *i.e.* recruitment.nitmn@gmail.com.
- 18) Applicants awarded with degrees by Foreign Institutions/Universities are required to submit Equivalence Certificate issued by Association of Indian Universities, New Delhi. The Institution reserves the right to enquire Equivalence Certificate for various degrees from any of the applicant(s).
- 19) The dates of interview / Selection Committee will be intimated to the shortlisted candidates to their furnished email address and posted in the Institute's Website.
- 20) Persons working in State/Central Government/University/Public Sector Undertaking must send their applications complete in all respects through proper channel by registered post/courier so as to reach in time, otherwise such applications are liable to be rejected. However, an advance copy super scribed as "Advanced Copy" on application form may be submitted before the last date of submitting Application Form.
- 21) Mere fulfillment of minimum qualification and experience requirements for the post does not entitle any candidate to be called for an Interview/ Selection Committee. The Institute reserves the right to set higher criteria than the essential qualifications for short-listing the candidates.
- 22) The Institute reserves the right to reject any or all applications without assigning any reason.
- 23) Any misleading or wrong information supplied may lead to rejection of applications/appointment, summarily.
- 24) Canvassing in any form by or on behalf of a candidate will lead to disqualification.

- 25) The Institute reserves the right to fill or not to fill any of the vacancies advertised and / or position under Movement to Higher Academic Grade Pay or Cadre open for serving Regular Faculty of NIT Manipur.
- 26) Applications on plain paper or applications received after the last date or applications without complete information/supporting documents will not be considered & summarily rejected.
- 27) An Application in Prescribed Form with complete information will be entertained and processed for short listing the eligible and most desirable candidates and shall screens all applications received within the date specified in the Employment Notice, complete in all respect.
- 28) The posts advertised are indicative and subject to variation at the time of Interview/Selection Committee.
- 29) Reservation policy will be as per Government of India norms. Instructions from the Govt. of India, Ministry of Education issued till date of interview will be applicable. Candidates belonging to SC/ST/OBC (Non-Creamy Layer)/PwD should enclose a copy of the Certificate issued by the competent authority along with the application; candidates belonging to OBC (Non-Creamy Layer) should enclose copy of the OBC (Non-Creamy Layer Certificate issued by competent authority; otherwise their application will be considered in Unreserved (UR) category.
- 30) EWS Reservation shall not be applicable as the posts advertised are sanctioned during the year 2016 & vacant prior to implementation of EWS Reservation i.e. January, 2019.
- 31) The Caste / Tribe / Community Certificates in the Prescribed Proforma and issued by any of the authorities stated in the OM No. 36012/6/88-Estt. (SCT), dated 24.04.1990 and OM No. 36012/22/93-Estt. (Res.), dated 15.11.1993, GoI, No. 36028/1/2014-Estt.(Res.), dated 3<sup>rd</sup> September, 2015 will only be accepted as sufficient proof in support of a candidate's claim belonging to Schedule Caste/Schedule Tribe/Other Backward Class (NCL). Relevant Caste/Tribe/Community Certificates are required to be submitted with duly completed Application Form. No other Certificate will be accepted as a sufficient proof.

The caste of the candidate must be in the State-wise Central List of SCs/STs given at the website of Govt. of India, Social Justice & Empowerment and/or Govt. of India, Ministry of Tribal Affairs:http://socialjustice.nic.in/UserView/PrintUserView?mid-76750 OR http://socialjustice.nic.in/UserView/index?mid-76750 OR https://tribal.nic.in/ST/LatestListofScheduletribes.pdf

- 32) OBC (NCL) Certificate submitted in support of the claim of reservation under this category (Non-Creamy Layer) must be obtained from the competent authority. The Certificate should clearly mention that the candidate belongs to Non-Creamy layer and the caste of candidate must be in the State-wise Central List of OBCs given at http://www.ncbc.nic.in/User\_Panel/CentralListStateView.aspx
- 33) Persons with Disability (PwD) shall be required to submit the Disability/Medical Certificate in the Prescribed Proforma and issued by the competent Medical Authorities for the purpose of employment as per Government of India norms with duly completed Application Form. Persons suffering from not less than 40% of the disability shall be eligible for the benefit of reservation under this category. The Certificate will be rejected if the disability is less than 40%.

- 34) No correspondence, whatsoever, will be entertained from the candidate(s) regarding postal delays, conduct and result of Interview/ Selection Committee and reasons for not being called for interview or selection.
- 35) Candidates who are unable to attend the interview/Selection Committee in person for being abroad may be considered through Video Conferencing. A specific request, giving sufficient justification, must be made in advance to the Registrar, National Institute of Technology Manipur at **recruitment.nitmn@gmail.com.** Such candidates, if shortlisted, may be considered for interview through Video Conferencing. The decision of the Director will be final and binding in this regard.

### 36) No TA/DA will be paid for attending the presentation and interview.

- 37) Additional sheets may be attached, in the same format, wherever the space allocated is felt to be insufficient.
- 38) Application Fee:
  - a) Rs. 1000/- for General and OBC
  - b) Rs. 500/- for SC/ST candidates.
  - c) The admissible Application Fee must be remitted online in the following account details and the payment receipt must be sent along with the Application Form.
  - d) Application Form without Payment Receipt will summarily be rejected.
  - e) Account details: Name of Bank: Bank of Baroda Accounts Holder's Name: Director NIT Manipur IRG Account No. 60330100000143 IFSC code: BARBONITMAN
- 40) Original documents along with one set of self-attested copies will have to be produced at the time of Interview/ Selection Committee for verification; otherwise they may not be allowed to appear in Interview/ Selection Committee. No correspondence shall be entertained in this regard.
- 41) **Period of Probation:** One year, if not extended by the Board of Governors, NIT Manipur on the recommendation of the Director, NIT Manipur.
- 42) Persons serving in Govt. / Semi Govt. / PSUs / Universities / Educational Institutions should send their applications either THROUGH PROPER CHANNEL or should furnish a "NO OBJECTION CERTIFICATE" at the time of interview. Such candidates are also required to produce "INTEGRITY CERTIFICATE" and "Vigilance Clearance Certificate", as per Prescribed Format, enclosed at Annexure - VII.

43) Any update/modification in the Advertisement will be notified only in the Institute's website (**www.nitmanipur.ac.in**), hence candidates are advised to visit the Institute's website regularly.

## **REQUIREMENT OF DOCUMENTS / CERTIFICATES / DEGREES**

Self - attested copies of the following Documents/Certificates/Degrees are required to be attached with the Application Form.

- i) Payment of fee: Printout of payment of Fee to be enclosed along with the Application Form.
- ii) Age Proof: Matriculation / 10<sup>th</sup> Standard/Secondary or equivalent Certificate indicating date of birth, or mark sheet of Matriculation/10<sup>th</sup> Standard or equivalent issued by Central/State Board indicating Date of Birth will be considered in support of their claim of age. Where Date of Birth is not available in Certificate/Mark Sheets, issued by the concerned Educational Boards, then School Leaving Certificate indicating Date of Birth will be considered.
- iii) Higher Secondary / Class XII (or equivalent) Mark Sheet.
- iv) Preceding Degrees: Submission of Degree Certificate of UG and PG along with Mark Sheets pertaining to all the academic years as proof of educational qualification claimed shall be enclosed with the Application Form.
- v) Essential qualification: Ph.D. Degree Certificate or Notification of Ph.D. Award issued by the authorized signatory (not the mentor/Supervisor/Head of the Department) of the grantee Institution/ University shall be accepted.
- vi) Caste/Tribe/Community Certificate: The relevant Caste/Tribe/Community Certificate issued by competent authority of the concerned State
- vii) The Disability/Medical Certificate issued by competent medical authority.
- viii) Photo Identity Card [issued by Govt. Agency/last attended Institution/University]
- ix) Experience Certificate(s): Experience Certificate(s) from the Head(s) of Organization(s) for the entire experience claimed, clearly mentioning the duration of employment (date, month & year) indicating the Pay Level, Grade Pay and Basic Pay. The Certificate(s) should also mention the nature of duties performed / experience obtained in the post(s) with duration(s). Credit Point Calculation Sheet (PART I, Part II & Part III) is to be filled by the applicant for the post applied.
- x) Any other relevant documents in support of the entries filled in prescribed Application Form.

Sd/-(Prof. Kh. Tomba Singh) Registrar, NIT Manipur

### **ANNEXURE - I**



## F.No.35 - 5 / 2017 - TS.III

Government of India Ministry of Human Resource Development Department of Higher Education

> Shastri Bhawan, New Delhi, dated, the 28<sup>th</sup> July, 2017

> > agistrurt

To

The Directors, National Institutes of Technology (NITs) (excluding NIT, Andhra Pradesh)

Subject:- Amendments in the First Statutes of National Institutes of Technology (NITs) - regarding.

Sir \ Madam,

Encl.: as above.

I am directed to forward herewith a copy of the Notification bearing S.O. 947 (E) dated 21<sup>st</sup> July, 2017 published in the Gazette of India Extraordinary Part II, Section 3, Sub-Section (i) on 24<sup>th</sup> July, 2017 to notify further amendments in the First Statutes of the National Institutes of Technology (NITs).

2. As per provisions of the Notification, the amendments shall come into force on the date of their publication in the Official Gazette. It is, therefore, requested to kindly adopt the First Statutes of the National Institutes of Technology (Amendment) Statutes, 2017 for implementation.

Yours faithfully,

[A.K. Singh] Under Secretary to the Government of India Tel: 23384897 Fax: 23384345

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REGD. NO. D. L.-33004/99

असाधारण

Shree Parts Daries

The Gazette of India

EXTRAORDINARY

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PART II-Section 3-Sub-section (i)

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मानव संसाधन विकास मंत्रालय

(उच्चतर शिक्षा विभाग)

अधिसूचना

नई दिल्ली, 21 जुलाई, 2017

सा.का.नि. 947(अ).—केंद्रीय सरकार, राष्ट्रीय प्रौद्योगिकी, विज्ञान शिक्षा और अनुसंधान संस्थान अधिनियम, 2007 (2007 का 29) की धारा 26 की उपधारा (3) और उपधारा (4) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए, कुलाध्यक्ष के पूर्वानुमोदन से राष्ट्रीय प्रौद्योगिकी संस्थान के पहले परिनियमों का और संशोधन करने के लिए निम्नलिखित परिनियम बनाती है, अर्थात् :--

1. (1) इन परिनियमों का संक्षिप्त नाम राष्ट्रीय प्रौद्योगिकी संस्थान का पहला परिनियम (संशोधन) परिनियम, 2017 है।

(2) ये उनके राजपत्र में प्रकाशन की तारीख से प्रवृत्त होंगे ।

 राष्ट्रीय प्रौद्योगिकी संस्थान के पहले परिनियम (जिसे इसमें इसके पश्चात् मूल परिनियम कहा गया है) में परिनियम 6 के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"6 बोर्ड के आदेशों का सत्यापन

बोर्ड के सभी आदेशों और विनिश्चयों का निदेशक, निदेशक की अनुपस्थिति में रजिस्ट्रार या इस निमित्त बोर्ड द्वारा प्राधिकृत व्यक्ति के हस्ताक्षर द्वारा सत्यापन किया जाएगा ।"।

3. मूल परिनियमों के परिनियम 8 में, खंड (13) के स्थान पर निस्नलिखित रखा जाएगा, अर्थात् :--

"(13) बोर्ड को राज्य या देश, या विदेश के विभिन्न भागों में सुदूर शिक्षण नीति के माध्यम से ज्ञान के प्रसार के लिए सिफारिशें करना, और विदेशी अभिकरण के साथ करार पर हस्ताक्षर करने के मामलों में मंत्रालय के अनुमोदन से करार पर हस्ताक्षर किए जा सकेंगे ;"।

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4. मूल परिनियमों के परिनियम 10 में,--

(क) उप परिनियम (1) के खंड (5) के स्थान पर निम्नलिखित खंड रखा जाएगा, अर्थात् :--

"(5) रजिस्ट्रार, पदेन, सदस्य-सचिव :

परंतु पूर्वोक्त के अतिरिक्त अध्यक्ष किसी विशेषज्ञ को विशेष आमंत्रिती के रूप में आमंत्रित कर सकेगा, तथापि. विशेष आमंत्रिती को मत देने का अधिकार नहीं होगा ;"।

(ख) उप परिनियम (2) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(2) सभी वित्तीय प्रस्तावों को विचारण और अनुमोदन के लिए बोर्ड के समक्ष रखने से पूर्व वित्तीय समिति के समक्ष रखा जाएगा ;"।

(ग) उप परिनियम (3) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(3) वित्तीय समिति साधारणतया वर्ष में अधिमानत: शासक बोर्ड की बैठक से पूर्व चार बैठकें करेगी ;"।

(घ) उप परिनियम (4) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(4) वित्त समिति की बैठक के लिए वित्त समिति के चार सदस्य गणपूर्ति होंगे ;"।

(ङ) उप परिनियम (5) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(5) अध्यक्ष वित्त समिति की बैठकों की अध्यक्षता करेगा और उसकी अनुपस्थिति में निदेशक बैठकों की अध्यक्षता करेगा ;"।

(च) उप परिनियम (6) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(6) बैठक की सूचना, एजेंडा में मदों को सम्मिलित करने और कार्यवृत्त की पुष्टि के संबंध में इन पहले परिनियमों के उपबंध बोर्ड की बैठकों को जहां तक व्यवहार्य हों, लागू होंगे, उनका वित्त समिति की बैठकों के संबंध में अनुसरण किया जाएगा ;"।

(छ) उप परिनियम (7) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(7) वित्त समिति की प्रत्येक बैठक के कार्यवृत्त की प्रति बोर्ड के समक्ष रखी जाएगी ;"।

5. मूल परिनियमों के परिनियम 11 के खंड (2) के स्थान पर निम्नलिखित खंड रखा जाएगा, अर्थात् :--

"(2) बोर्ड या निदेशक की पहल पर या स्व:प्रेरणा से संस्थान को प्रभावित करने वाले किन्हीं वित्तीय प्रस्तावों या मुद्दों पर बोर्ड को अपने विचार बताएगा और अपनी सिफारिशें करेगा ।"।

### 6. मूल परिनियमों के परिनियम 12 में,--

(क) उप परिनियम (1) के खंड (ii) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(ii) केंद्रीय सरकार के मंत्रालय में राष्ट्रीय प्रौद्योगिकी संस्थानों से व्यौहार करने वाला निदेशक या उप सचिव या उसका नामनिर्देशिती और मंत्रालय में राष्ट्रीय प्रौद्योगिकी संस्थानों के वित्त से व्यौहार करने वाला निदेशक या उप सचिव या उसका नामनिर्देशिती पदेन-सदस्य ।"।

(ख) उप परिनियम (3) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(3) चार सदस्य भवन और संकर्म समिति की बैठक में गणपूर्ति होंगे ।"।

(ग) उप परिनियम (5) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(5) भवन और संकर्म समिति की प्रत्येक बैठक के कार्यवृत्त की प्रति बोर्ड के समक्ष वित्त समिति की विनिर्दिष्ट प्रस्ताव या प्रस्तावों पर, जिस पर बोर्ड का अनुमोदन अपेक्षित हो, पर सिफारिशों के साथ बोर्ड के समक्ष रखी जाएगी ।"।

7. मूल परिनियमों के परिनियम 13 में,--

(क) उप परिनियम (1) के खंड (ii) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(ii) संस्थान के अनुमोदित बजटीय उपबंधों के भीतर गौण संकर्म और मरम्मत तथा अनुरक्षण के संबंध में संकर्मों के लिए आवश्यक प्रशासनिक अनुमोदन और व्यय की मंजूरी देने की शक्ति होगी तथा बोर्ड व्यय की मात्रा के निबंधनों में गौण संकर्म और गौण मरम्मत तथा अनुरक्षण को परिभाषित करेगा ;"।

(ख) उप परिनियम (1) के खंड (iii) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(iii) भवनों और अन्य पूंजी संकर्मों, गौण संकर्मों, मरम्मत, अनुरक्षण और सदृश की लागत के आकलनों को तैयार करवाएगा । भवन और संकर्म समिति गौण संकर्मों, गौण मरम्मत और अनुरक्षण के लागत आकलन का अनुमोदन करेगी ।"।

(ग) उप परिनियम (1) के खंड (v) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(v) वह समुचित ठेकेदारों को सूचीबद्ध करने और निविदाओं को स्वीकार करने के लिए उत्तरदायी होगी और उसे संस्थान के संकायाध्यक्ष (पीएंडडी) द्वारा सम्यक्त: सिफारिश किए गए विभागीय संकर्मों, जहां आवश्यक हो, के लिए निदेश देने की शक्ति होगी ।"।

- 8. मूल परिनियमों के परिनियम 14 में,--
  - (क) खंड (ii) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(ii) उसे सिवाय संस्थान के निदेशक के कर्मचारिवृंद के सदस्यों को प्रशिक्षण पर या अनुदेश के पाठ्यक्रम में समय-समय पर बोर्ड द्वारा अधिकथित निबंधनों और शर्तों के अधीन रहते हुए भारत से बाहर भेजने की शक्ति होगी और निदेशक के भारत से बाहर के भ्रमण को अध्यक्ष, राष्ट्रीय प्रौद्योगिक संस्थान परिषद् द्वारा अनुमोदित किया जाएगा ;"।

(ख) खंड (iii) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(iii) वह केंद्रीय सरकार की ओर से संस्थान और निदेशक के बीच सेवा की संविदा का निष्पादन करेगा किंतु वह ऐसी संविदा के अधीन किसी बात के लिए वैयक्तिक रूप से उत्तरदायी नहीं होगा ; और"।

9. मूल परिनियमों के परिनियम 17 में,--

(क) उप परिनियम (1) के स्थान पर निम्नलिखित परिनियम रखा जाएगा, अर्थात् :--

"(1) संस्थान के निदेशक की नियुक्ति कुलाध्यक्ष द्वारा कम से कम पांच सदस्यों से मिलकर बनने वाली खोजबीन-सह-चयन समिति की सिफारिश पर की जाएगी । परिषद् का अध्यक्ष उसका अध्यक्ष होगा और उच्चतर शिक्षा विभाग का सचिव या उसका प्रतिनिधि राष्ट्रीय और अंतर्राष्ट्रीय स्तर पर तकनीकी शिक्षा के क्षेत्र में अनुभव रखने वाले तीन अन्य विशेषज्ञों के अतिरिक्त उसका एक सदस्य होगा ।"। (ख) उप परिनियम (16) के पश्चात् निम्नलिखित उप परिनियम अंत:स्थापित किया जाएगा, अर्थात् :--

"(17) संस्थान के निदेशक की अनुशासनिक शक्तियों का विनिश्चय समय-समय पर संबंधित राष्ट्रीय प्रौद्योगिकी संस्थान के शासक बोर्ड द्वारा किया जाएगा ।"।

10. मूल परिनियमों के परिनियम 18 के उप परिनियम (1) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(1) उपनिदेशक की नियुक्ति राष्ट्रीय प्रौद्योगिकी संस्थानों के पहले परिनियम के परिनियम 23(5)(क) के अधीन उपबंधों के निबंधनों में गठित चयन समिति की सिफारिशों पर बोर्ड द्वारा की जाएगी" ।

11. परिनियम 21 के उप परिनियम (2) के पश्चात् निम्नलिखित उप परिनियम अंतःस्थापित किया जाएगा, अर्थात् :--

"(3) रजिस्ट्रार के कार्य निष्पादन का पुनर्विलोकन एक वर्ष की सेवा पर बोर्ड द्वारा गठित की जाने वाली समिति द्वारा किया जाएगा ।"।

- 12. मूल परिनियमों के परिनियम 23 में,--
  - (क) उप परिनियम (3) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(3) संस्थान में नियुक्तियों के प्रयोजन के लिए परिषद् या केंद्रीय सरकार द्वारा यथा अनुमोदित नियम लागू होंगे ।"।

(ख) उप परिनियम (4) के स्थान पर निम्नलिखित रखा जाएगा, अर्थातु :--

"(4) चयन समिति का, संस्थान के अधीन पदों को विज्ञापन या संस्थान के कर्मचारिवृंद के सदस्यों में से प्रोन्नति द्वारा भरने के लिए (संविदा के आधार पर पदों से भिन्न अन्य) ऐसी रीति में गठन किया जाएगा, जो केंद्रीय सरकार या बोर्ड द्वारा समय-समय पर अध्यादेशों द्वारा अधिकथित किए जाएं।"।

(ग) उप परिनियम (5) के खंड (क) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(क) शैक्षिक कर्मचारिवृंद (निदेशक को छोड़कर) की नियुक्ति या पदोन्नति के लिए अर्हता और अन्य निबंधन और शर्तें वे होंगी, जो अनुसूची 'ङ' में विनिर्दिष्ट की जाएंगी और चयन समिति, शैक्षिक कर्मचारिवृंद (निदेशक को छोड़कर) की नियुक्ति की सिफारिश करने के लिए निम्नलिखित सदस्यों से मिलकर बनेगी, अर्थात् :--

(1)	निदेशक या उप निदेशक	-	अध्यक्ष
(2)	कुलाध्यक्ष का नामनिर्देशिती	-	सदस्य
(3)	बोर्ड में दो नामनिर्देशिती, जिनमें से एक बोर्ड के सदस्य से भिन्न एक विशेषज्ञ होगा	-	सदस्य
(4)	संस्थान के बाहर से सीनेट द्वारा नामनिर्दिष्ट किए जाने वाला एक विशेषज्ञ	-	सदस्य
(5)	संबंधित विभाग का अध्यक्ष (उप निदेशक और प्रोफेसर के पद से भिन्न के लिए)	-	सदस्य

(घ) उप परिनियम (5) के खंड (घ) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(घ) ज्येष्ठ प्रशासनिक और अन्य तुलनीय पद, जिनका वेतनमान सहायक प्रोफेसर और उससे ऊपर है, के लिए चयन समिति निम्नलिखित से मिलकर बनेगी. अर्थात :--

(1)	निदेशक या उप निदेशक	-	अध्यक्ष
(2)	संस्थान के बाहर से एक सदस्य	-	सदस्य
(3)	मानव संसाधन विकास मंत्रालय का नामनिर्देशिती	-	सदस्य
(4)	बोर्ड का नानिर्देशिती	-	सदस्य
(5)	रजिस्ट्रार	-	सदस्य

(ङ) उप परिनियम (10) के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"(10) इन परिनियमों में अंतर्विष्ट किसी बात के होते हुए भी, बोर्ड को विभाग या केंद्र की आपात आवश्यकता के अनुकूल विशेष कौशल या जानकारी रखने वाले व्यक्तियों को नियुक्त करने की शक्ति होगी और ऐसी आपात स्थितियों में नियुक्तियां 12 मास की अवधि के लिए होगी ।"।

13. मूल परिनियमों के परिनियम 24 के खंड (i) के स्थान पर निम्नलिखित खंड रखा जाएगा, अर्थात् :--

"(i) अधिनियम और परिनियमों के उपबंधों के अधीन रहते हुए संस्थान के अधीन सभी पदों पर नियुक्तियां एक वर्ष की परिवीक्षा अवधि पर की जाएंगी, जिसके पश्चात् नियुक्त किया गया व्यक्ति, यदि उसकी पुष्टि की जाती है तो अधिनियम और परिनियमों के उपबंधों के अधीन रहते हुए उस मास के अंत तक अपना पद धारण करना जारी रखेगा, जिसमें वह, यथास्थिति, शैक्षिक पदों, तकनीकी गैर-शैक्षिक पदों और सचिवालय तथा प्रशासनिक पदों के लिए विनिर्दिष्ट अधिकतम आयु प्राप्त कर लेता है:

परंतु नियुक्तिकर्ता प्राधिकारी को संस्थान के किसी कर्मचारी की परिवीक्षा अवधि का एक वर्ष से अनधिक अवधि के लिए विस्तार करने की शक्ति होगी ।"।

14. मूल परिनियमों के परिनियम 25 के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"25. स्थायी कर्मचारियों के लिए आचार-संहिता

प्रत्येक संस्थान द्वारा केंद्रीय सरकार के परामर्श से कर्मचारियों के लिए आचार-संहिता बनाई जाएगी और जब तक कर्मचारियों के लिए आचार-संहिता की विरचना नहीं की जाती है, संस्थान केंद्रीय सिविल सेवा (वर्गीकरण, नियंत्रण और अपील) नियम, 1965 का पालन करेगा ।"।

15. मूल परिनियमों के परिनियम 26 के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"26. निलंबन, शास्तियां, अनुशासनिक कार्यवाहियां

केंद्रीय सिविल सेवा (वर्गीकरण, नियंत्रण और अपील) नियम, 1965 सभी कर्मचारियों को लागू होंगे।"।

16. मूल परिनियमों के परिनियम 29 के स्थान पर निम्नलिखित रखा जाएगा, अर्थात् :--

"29. भविष्य निधि और पेंशन स्कीम

तारीख 1.1.2004 से पूर्व नियुक्त संस्थान के कर्मचारी केंद्रीय सिविल सेवा (पेंशन) नियम, 1972 और साधारण भविष्य निधि (केंद्रीय सेवाएं) नियम, 1960 द्वारा शासित होंगे और तारीख 1.1.2004 को या उसके पश्चात् नियुक्त कर्मचारी केंद्रीय सरकार की नई पेंशन स्कीम द्वारा शासित होंगे ।"। 17. अनुसूची में, पहले परिनियमों की अनुसूची 'घ' के पश्चात् अनुसूची 'ङ' अंत:स्थापित की जाएगी, अर्थात् :--

## "अनुसूची 'ङ'

## [परिनियम 23(5)(क) देखें]

## शैक्षिक कर्मचारिवृंद की नियुक्ति के लिए अर्हता और अन्य निबंधन और शर्तें

क्रम सं.	पदनाम, वेतन बैंड और शैक्षिक ग्रेड वेतन	अनिवार्य अर्हता	अनिवार्य अपेक्षाएं	संचित अनिवार्य क्रेडिट पाइंट
(1)	(2)	(3)	(4)	(5)
1.	*सहायक प्रोफेसर (संविदा पर) 6,000 रुपए ग्रेड वेतन सहित वेतन बैंड – 3	पीएचडी	कुछ नहीं	कुछ नहीं
2.	*सहायक प्रोफेसर (संविदा पर) 7,000 रुपए ग्रेड वेतन सहित वेतन बैंड – 3	पीएचडी	किसी विख्यात संस्थान या उद्योग में पीएचडी पश्च शिक्षा और अनुसंधान का एक वर्ष का अनुभव	10
3.	*सहायक प्रोफेसर (संविदा पर) 8,000 रुपए ग्रेड वेतन सहित वेतन बैंड – 3 में न्यूनतम वेतन 30,000 रुपए	पीएचडी	पीएचडी के पश्चात् तीन वर्ष का अनुभव या किसी विख्यात शैक्षिक संस्थान/अनुसंधान एवं विकास प्रयोगशाला या सुसंगत उद्योग में कुल छह वर्ष का शिक्षण और अनुसंधान का अनुभव	20
4.	एसोसिएट प्रोफेसर, 9,500 रुपए ग्रेड वेतन सहित, न्यूनतम वेतन 42,800 रुपए के साथ वेतन बैंड – 4	पीएचडी	पीएचडी के पश्चात् 8,000 रुपए शैक्षिक ग्रेड वेतन सहित सहायक प्रोफेसर के स्तर पर छह वर्ष या कुल 9 वर्ष का कार्य अनुभव, जिसमें से तीन वर्ष का अनुभव पीएचडी के पश्चात् होना चाहिए, 8,000 रुपए शैक्षिक ग्रेड वेतन के साथ सहायक प्रोफेसर के स्तर पर कम से कम तीन वर्ष का अनुभव	50
5.	प्रोफेसर 10,500 रुपए ग्रेड वेतन सहित वेतन बैंड – 4 में न्यूनतम वेतन 48,000 रुपए	पीएचडी	पीएचडी के पश्चात् 10 वर्ष या 13 वर्ष का कुल अनुभव, जिसमें से 7 वर्ष का अनुभव पीएचडी के पश्चात् होना चाहिए । जिसमें से 9,500 रुपए शैक्षिक ग्रेड वेतन के साथ एसोसिएट प्रोफेसर के स्तर पर कम से कम तीन वर्ष या 9,000 रुपए शैक्षिक ग्रेड वेतन के साथ एसोसिएट प्रोफेसर के स्तर पर या किसी विख्यात संस्थान या अनुसंधान एवं विकास प्रयोगशाला या सुसंगत उद्योग में 9000 और 9500 रुपए के संयोजन में चार वर्ष का अनुभव	

. प्रोपे	त्सर (उच्चत	र प्रशासनिक	ग्रेड	पीएचडी	राष्ट्रीय महत्ता के किसी संस्थान में	150
	नमान) 67,00	)0-79,000 रुपा	ए		प्रोफेसर के रूप में 10,000 रुपए या	
					10,500 रुपए या 10,000 रुपए और	
			×		10,500 रुपए के संयोजन में शैक्षिक ग्रेड	
					वेतन के साथ 6 वर्ष का अनुभव	

टिप्पण 1 :

- (1) ग्रेड वेतन में कोई परिवर्तन खुले विज्ञापन के माध्यम से किया जाएगा और सम्यकत: गठित चयन समिति सिवाय वहां जहां विनिर्दिष्ट रूप से इन नियमों द्वारा छूट प्रदान की गई हो, की सिफारिशों पर किया जाएगा ।
- (2) सभी नए भर्ती किए गए व्यक्तियों के पास सुसंगत या समतुल्य विषय में पीएचडी होगी और उनके पास पूर्ववर्ती डिग्रियों में प्रथम श्रेणी होगी ।
- (3) विद्यमान संकाय के सदस्य, जिन्होंने संस्थान में अपने साधारण शिक्षण भार के साथ या क्वालिटी सुधार कार्यक्रम में पीएचडी पूरी की है, पीएचडी में नामांकन अवधि को शिक्षण अनुभव के लिए गणना में लिया जाएगा।
- (4) संस्थान प्रशासन में योगदान की संबंधित अध्यक्ष द्वारा सिफारिश की जाएगी और निदेशक द्वारा उसका अनुमोदन किया जाएगा । विभागीय प्रशासन में योगदान की सिफारिश संबंधित अध्यक्ष द्वारा की जानी चाहिए और उसका अनुमोदन निदेशक द्वारा किया जाना चाहिए ।
- (5) उन विभागों, जिनमें कोई रिक्ति नहीं है, उच्चतर शैक्षिक ग्रेड वेतन या कैडर में संचलन को विनिर्दिष्ट चयन प्रक्रिया के अनुसार किया जाएगा किंतु यह संबंधित विभागों के सेवारत संकाय सदस्यों तक ही निर्बधित होगा ।
- (6) स्थायी संकाय सदस्य, जिनके पास दस वर्ष से अधिक अनुभव है किंतु जिन्होंने इस अधिसूचना की तारीख को पीएचडी अर्जित नहीं की है, को निम्नलिखित मानकों के अनुसार एकमुश्त उपाय के रूप में चार चरणीय नम्य प्रणाली में रखा जाएगा :
  - क) पचास वर्ष या अधिक आयु के स्थायी संकाय सदस्य :
    - (i) 7,000 रुपए शैक्षिक ग्रेड वेतन सहित सहायक प्रोफेसरों को 8,000 रुपए शैक्षिक ग्रेड वेतन वाले सहायक प्रोफेसर के स्तर में रखा जाएगा किंतु यह कि उनके पास उनके जीवनकाल में कम से कम दस क्रेडिट पाइंट हों।
    - (ii) 8,000 रुपए शैक्षिक ग्रेड वेतन सहित सहायक प्रोफेसरों को 9,500 रुपए शैक्षिक ग्रेड वेतन वाले एसोसिएट प्रोफेसर के स्तर में रखा जाएगा किंतु यह कि उनके पास उनके जीवनकाल में कम से कम पच्चीस क्रेडिट पाइंट हों।
    - (iii) 9,000 रुपए शैक्षिक ग्रेड वेतन सहित एसोसिएट प्रोफेसरों को 9,500 रुपए शैक्षिक ग्रेड वेतन वाले एसोसिएट प्रोफेसर के स्तर में रखा जाएगा किंतु यह कि उनके पास उनके जीवनकाल में कम से कम पच्चीस क्रेडिट पाइंट हों :

परंतु यह कि वह कानून के अधीन सम्यकत: गठित चयन समिति के माध्यम से उपयुक्त पाया जाता है ।

ख) पचास वर्ष से कम आयु के स्थायी संकाय सदस्यों को किसी भी भारतीय प्रौद्योगिकी संस्थान/राष्ट्रीय प्रौद्योगिकी संस्थान में पीएचडी करने के लिए प्रायोजित किया जाएगा, उन्हें संबंधित राष्ट्रीय प्रौद्योगिकी संस्थान से तीन वर्ष का अध्ययन अवकाश देने के लिए सम्यकत: संदाय प्रदान किया जाएगा और पीएचडी पूरा करने पर वह नए भर्ती नियमों के अनुसार चार चरणीय प्रणाली में जाने के लिए प्रतिस्पर्धा करेंगे ।

7

- (7) भवन विन्यास विभाग में संकाय के लिए सहायक प्रोफेसर स्तर पर क्रेडिट पाइंटों की आवश्यकता पर बल न देते हुए निम्नलिखित अनिवार्य अर्हता होगी :
  - एक वर्ष के व्यवसायिक अनुभव के साथ एम आर्क या एम प्लान : 6,000 रुपए शैक्षिक ग्रेड वेतन में सहायक प्रोफेसर :
  - (ii) दो वर्ष के व्यवसायिक अनुभव के साथ एम आर्क या एम प्लान : 7,000 रुपए शैक्षिक ग्रेड वेतन में सहायक प्रोफेसर :
  - (iii) उच्चतर संवर्गों के लिए शैक्षिक अर्हताएं और क्रेडिट पाइंट आवश्यकता वहीं रहेगी जो इंजीनियरी और विज्ञान के लिए सारणी में दी गई है।

टिप्पण 2 : क्रेडिट पाइंट प्रणाली

निम्नलिखित क्रेडिट पाइंट प्रणाली होगी।

क्रम सं.	कार्यकलाप	क्रेडिट पाइंट
1.	एक बाह्य प्रायोजित अनुसंधान एवं विकास परियोजना पूरी की हो या चल रही हो या कोई पेटेंट अनुदत्त किया गया हो	प्रति परियोजना आठ क्रेडिट पाइंट या आविष्कारक के रूप में प्रति पेटेंट आठ क्रेडिट पाइंट (किसी परियोजना में एक से अधिक व्यक्तियों की दशा में, प्रधान अनुसंधानकर्ता को पांच क्रेडिट पाइंट मिलेंगे और शेष को अन्य सदस्यों के बीच बराबर विभाजित कर दिया जाएगा।
2.	परामर्श सेवाएं	10 क्रेडिट पाइंटों के अधीन रहते हुए, 5 लाख रुपए के परामर्श के लिए दो क्रेडिट पाइंट की दर से
3.	पूरी की गई पीएचडी (जिसके अंतर्गत शोध जमा करने के मामले हैं)	प्रति पीएचडी विद्यार्थी 8 क्रेडिट पाइंट (एक से अधिक पर्यवेक्षकों की दशा में गाइड (पहला पर्यवेक्षक) प्रति विद्यार्थी 5 क्रेडिट पाइंट लेगा और शेष को अन्य पर्यवेक्षकों के बीच बराबर विभाजित कर दिया जाएगा)।
4.	विज्ञान उद्धरण इंडेक्स या स्कोप्स जर्नल में एक पेपर (संदत्त जर्नल अनुज्ञात नहीं)	अंतिम प्रोन्नति से प्रति पेपर चार पाइंट । प्रथम लेखक या मुख्य पर्यवेक्षक दो पाइंट प्राप्त करेगा और शेष पाइंटों को अन्य के बीच विभाजित कर दिया जाएगा।
5.	एक संगोष्ठी पेपर, जिसे विज्ञान उद्धरण इंडेक्स या स्कोप्स या वेब ऑफ साइंस संगोष्ठी या कोई अंतर्राष्ट्रीय विख्यात संगोष्ठी	अधिकतम 10 क्रेडिट पाइंटों के अधीन रहते हुए प्रति पेपर 1 क्रेडिट पाइंट । प्रथम लेखक या मुख्य पर्यवेक्षक 0.6 पाइंट प्राप्त करेगा और शेष पाइंटों को अन्य के बीच विभाजित कर दिया जाएगा ।
6.	विभागाध्यक्ष, संकायाध्यक्ष, मुख्य वार्डन, भारसाधक प्रोफेसर (प्रशिक्षण एवं प्लेसमेंट) सलाहकार (संपदा), मुख्य सर्तकता अधिकारी, पीआई (परीक्षा), टीईक्यूआईपी (समन्वयक)	अंतिम प्रोन्नति से अधिकतम 16 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 2 पाइंट
7.	वार्डन, सहायक वार्डन, एसोसिएट संकायाध्यक्ष, अध्यक्ष या संयोजक, संस्थान शैक्षिक समितियां, संकाय प्रभारी, कंप्यूटर केंद्र या सूचना और प्रौद्योगिकी सेवाएं या पुस्तकालय या प्रवेश या विद्यार्थी कार्यकलाप और अन्य संस्थानिक कार्यकलाप	अंतिम प्रोन्नति से अधिकतम 8 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 1 पाइंट

8.	विभिन्न स्थायी समितियों और विशेष समिति के अध्यक्ष और संयोजक (पदेन स्थिति पर विचार नहीं किया जाएगा) विभिन्न इकाईयां या समतुल्य के (प्रत्येक एक वर्ष की अवधि के लिए) संकाय प्रभारी	अंतिम प्रोन्नति से अधिकतम 3 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 0.5 पाइंट
9.	विभागाध्यक्ष द्वारा पहचान किए गए विभागीय कार्यकलाप जैसे न्यूनतम एक वर्ष की अवधि के लिए प्रयोगशाला या विभाग स्तरीय समिति के प्रभारी	अंतिम प्रोन्नति से अधिकतम 3 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 0.5 पाइंट
10.	कार्यशाला या संकाय विकास कार्यक्रम या न्यूनतम पांच कार्यदिवस की अवधि के लघु अवधि पाठ्यक्रम, जिनका समन्वयक या संयोजक के रूप में प्रस्ताव किया गया है	अंतिम प्रोन्नति से अधिकतम 8 क्रेडिट पाइंटों के अधीन रहते हुए प्रति पाठ्यक्रम 2 पाइंट
11.	पाठ्यक्रम समन्वयक के रूप में शैक्षिक नेटवर्कों आदि की वैश्विक पहल, जैसे राष्ट्रीय कार्यक्रम संचालित करने के लिए दो सप्ताह की अवधि के कार्यक्रम एक सप्ताह की अवधि का कार्यक्रम	अंतिम प्रोन्नति से अधिकतम 4 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 2 पाइंट अंतिम प्रोन्नति से अधिकतम 2 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 1 पाइंट
12.	अध्यक्ष या सचिव के रूप में आयोजित राष्ट्रीय या अंतर्राष्ट्रीय संगोष्ठी	अंतिम प्रोन्नति से अधिकतम 6 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 3 पाइंट
13.	किसी दिए गए संवर्ग के लिए अपेक्षित न्यूनतम सुसंगत शिक्षण अनुभव से अधिक सेवा अवधि	अंतिम प्रोन्नति से अधिकतम 10 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 2 पाइंट
14.	नई प्रयोगशालाओं की स्थापना	अंतिम प्रोन्नति से 4 क्रेडिट पाइंट
15.	छह क्रेडिट घंटों के पाठ्यक्रम से अधिक सिद्धांत शिक्षण	अंतिम प्रोन्नति से अधिकतम 6 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 1 पाइंट
16.	स्रातकोत्तर मार्गदर्शित निबंध	अंतिम प्रोन्नति से अधिकतम 10 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 0.5 पाइंट
17.	स्नातक परियोजनाएं	अंतिम प्रोन्नति से अधिकतम 4 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 0.25 पाइंट
18.	विख्यात अंतर्राष्ट्रीय प्रकाशकों से सुसंगत विषयों पर प्रकाशित पाठ्य या संदर्भ पुस्तकें	अंतिम प्रोन्नति से अधिकतम 18 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 6 पाइंट
19.	विख्यात अंतर्राष्ट्रीय प्रकाशकों से सुसंगत विषयों पर प्रकाशित पाठ्य या संदर्भ पुस्तकें या विख्यात अंतर्राष्ट्रीय प्रकाशकों द्वारा प्रकाशित पुस्तकों में पुस्तक अध्याय	अंतिम प्रोन्नति से अधिकतम 6 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 2 पाइंट
20.	महत्वपूर्ण आउटरिच संस्थान बाह्य कार्यकलाप	अंतिम प्रोन्नति से अधिकतम 4 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 1 पाइंट
21.	आईईईई, एफएनए, एफएनएई, एफएनएएससी का फेलो	10 क्रेडिट पाइंट
22.	प्लेसमेंट प्रतिशत (केवल प्लेसमेंट कक्ष अधिकारियों या प्लेसमेंट वे	, इ.संकाय प्रभारी के लिए)
	85 प्रतिशत से अधिक	अंतिम प्रोन्नति से अधिकतम 20 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 4 पाइंट
	75 प्रतिशत – 84 प्रतिशत (प्रतिशत उत्तीर्ण होने वाले विद्यार्थियों की कुल संख्या और एकल जॉब प्रस्ताव पर आधारित होगा)	अंतिम प्रोन्नति से अधिकतम 10 क्रेडिट पाइंटों के अधीन रहते हुए प्रति सेमेस्टर 2 पाइंट

[सं. एफ. 22-5/2006-टीएस.III]

आर. सुब्रहमनियम, अपर सचिव

9

टिप्पण : मूल परिनियम, भारत के राजपत्र, असाधारण, भाग II, खंड 1, उपखंड (i) में अधिसूचना सं. सा.का.नि. 280(अ)

तारीख 23 अप्रैल, 2009 को प्रकाशित किए गए थे और पश्चातवर्ती संशोधन भारत के राजपत्र, असाधारण, भाग II,

खंड 1, उपखंड (i) में अधिसूचना सं. सा.का.नि. 837(अ) तारीख 5 नवंबर, 2015 द्वारा प्रकाशित किए गए थे ।

### MINISTRY OF HUMAN RESOURCE DEVELOPMENT

### (Department of Higher Education)

### NOTIFICATION

### New Delhi, the 21st July, 2017

S.O. 947(E).—In exercise of the powers conferred by sub-section (3) and sub-section (4) of section 26 of the National Institutes of Technology, Science Education and Research Act, 2007 (29 of 2007), with the prior approval of the Visitor, the Central Government hereby makes the following Statutes further to amend the First Statutes of the National Institutes of Technology, namely:-

1. (1) These Statutes may be called the First Statutes of the National Institutes of Technology (Amendment) Statutes, 2017.

(2) They shall come into force on the date of their publication in the Official Gazette.

2. In the First Statutes of the National Institutes of Technology (hereinafter referred to as the Principal Statutes), for Statutes 6, the following shall be substituted, namely:-

"6. AUTHENTICATION OF ORDERS OF THE BOARD

All orders and decisions of the Board shall be authenticated by the signature of the Director. In absence of Director, the Registrar or any person-authorised by the Board in this behalf.".

3. In Statute 8 of the Principal Statutes, for clause (xiii), the following shall be substituted, namely:-

"(xiii) make recommendations to the Board to disseminate knowledge through distance learning mode to various parts of the State or country or abroad and in the cases of signing of agreement with the foreign agency, agreement may be signed with the approval of the Ministry;".

4. In Statute 10 of the Principal Statutes,-

(a) in sub-statute (1), for clause (v), the following clause shall be substituted, namely:-

"(v) the Registrar, ex-officio, Member-Secretary:

Provided that in addition to the above, the Chairman may invite an expert as special invitee, however, the special invitee may not have voting rights;".

(b) for sub-statute (2), the following shall be substituted, namely:-

"(2) All financial proposals shall be placed before the Finance Committee prior to being placed before the Board for consideration and approval;".

(c) for sub-statute (3), the following shall be substituted, namely:-

"(3) The Finance Committee shall meet ordinarily four times in a year preferably before the meeting of the Board of Governors;".

(d) for sub-statute (4), the following shall be substituted, namely:-

"(4) Four Members of the Finance Committee shall form a quorum for a meeting of the Finance Committee;".

(e) for sub-statute (5), the following shall be substituted, namely:-

"(5) The Chairman, shall preside over the meetings of the Finance Committee and in his absence, the Director shall preside over the meetings;".

(f) for sub-statute (6), the following shall be substituted, namely:-

"(6) The provisions in these First Statutes regarding notices of the meeting, inclusion of items in the agenda and confirmation of the minutes applicable to the meetings of the Board shall, so far as practicable may be, followed in connection with the meetings of the Finance Committee;".

(g) for sub-statute (7), the following shall be substituted, namely:-

"(7) A copy of the minutes of every meeting of the Finance Committee shall be placed before the Board;".

5. In Statute 11 of the Principal Statutes, for clause (ii), the following shall be substituted, namely:-

"(ii) give its views and make its recommendations on any financial proposals or issues affecting the Institute to the Board either on the initiative of the Board or of the Director, or on its own motion.".

- 6. In Statute 12 of the Principal Statutes,-
- (a) in sub-statute (1), for clause (ii), the following clause shall be substituted, namely:-

"(ii) Director or Deputy Secretary or his nominee dealing with the National Institutes of Technology in the Ministry and Director or Deputy Secretary or his nominee dealing with Finance of the National Institutes of Technology in the Ministry as *Ex-Officio* Members of the Central Government.".

- (b) for sub-statute (3), the following shall be substituted, namely:-
  - "(3) Four members shall form a quorum for a meeting of the Building and Works Committee.".
- (c) for sub-statute (5), the following shall be substituted, namely:-

"(5) A copy of the minutes of every meeting of the Building and Works Committee shall be placed before the Board together with the recommendations of the Finance Committee on specific proposal or proposals which requires approval of the Board."

- 7. In Statute 13 of the Principal Statutes,-
- (a) in sub-statute (1), for clause (ii), the following clause shall be substituted, namely:-

"(ii) have the power to give the necessary administrative approval and expenditure sanction for minor works and works pertaining to repair and maintenance, within the approved budgetary provision of the Institute and the Board will define the minor work and minor repair and maintenance in terms of quantum or expenditure;".

(b) in sub-statute (1), for clause (iii), the following clause shall be substituted, namely:-

"(iii) cause to prepare estimates of cost of buildings and other capital works, minor works, repairs, maintenance and the like. The Building and Works Committee shall approve the cost estimates for minor works, minor repairs and maintenance."

(c) in sub-statute (1), for clause (v), the following clause shall be substituted, namely:-

"(v) be responsible for enlistment of suitable contractors and acceptance of tenders and shall have the power to give directions for departmental works where necessary duly recommended by the Dean (P&D) of the Institute.".

- 8. In Statute 14 of the Principal Statutes,-
- (a) for clause (ii), the following clause shall be substituted, namely:-

"(ii) he shall have the power to send members of the staff, except the Director, of the Institute for training or for a course of instruction, outside India subject to such terms and conditions as may be laid down by the Board from time to time and the visit abroad by the Director shall be approved by the Chairman, National Institutes of Technology Council;".

(b) for clause (iii), the following clause shall be substituted, namely:-

"(iii) he shall execute the contract of service between the Institute and the Director on behalf of the Central Government, but he shall not be personally liable of anything under such contract; and".

- 9. In Statute 17 of the Principal Statutes,-
- (a) for sub-statute (1), the following shall be substituted, namely:-

"(1) The Director of the Institute shall be appointed by the Visitor on contract basis on the recommendations of a Search – cum – Selection Committee constituted by him consisting of atleast five members. The Chairperson of the Council shall be its Chairperson and the Secretary of the Department of Higher Education or his representative shall be one of its members besides three other experts in the field of technical education with experience at national and international level.".

(b) after sub-statute 16, the following sub-statute shall be inserted, namely:-

"(17) The disciplinary powers for Director of the Institute shall be decided by the Board of Governors of the respective National Institute of Technology from time to time.".

10. In Statute 18 of the Principal Statutes, for sub-statute (1), the following shall be substituted, namely:-

"(1) The appointment of the Deputy Director shall be made by the Board on the recommendations of the Selection Committee constituted in terms of provisions under Statutes 23 (5) (a) of the First Statutes of National Institutes of Technology".

11. In Statute 21, after sub-statute (2), the following sub-statute shall be inserted, namely:-

"(3) The review of performance of the Registrar upon completion of one years of service may be carried out by the Committee to be constituted by the Board.".

- 12. In Statute 23 of the Principal Statutes,-
- (a) for sub-statute (3), the following shall be substituted, namely:-

"(3) For the purposes of appointments in the Institute, the rules as approved by the Council or Central Government shall apply.".

(b) for sub-statute (4), the following shall be substituted, namely:-

"(4) The Selection Committees, for filling posts under the Institute (other than the posts on contract basis) by advertisement or by promotion from amongst the members of staff of the Institute, shall be constituted in such manner as laid down by the Central Government or Board from time to time by ordinances.".

(c) in sub-statute (5), for clause (a), the following shall be substituted, namely:-

"(a) The qualification and other terms and conditions of appointment of Academic Staff (excluding Director), or promotion shall be as specified in Schedule 'E' and the Selection Committee for making recommendations for appointment of Academic Staff (excluding Director) shall consist of the following members, namely:-

(1)	Director or Deputy Director	- Chairman
(2)	Visitor's Nominee	- Member
(3)	two nominee of the board one being an expert, but other than a member of the Board	- Member
(4)	one expert nominee of Senate from outside	
	the Institute	- Member
(5)	Head of the Department concerned	

(for other than the post of Deputy Director and Professor)

in sub-statute (5), for clause (d), the following shall be substituted, namely:-

"(d) The Selection Committee for Senior Administrative and other comparable posts carrying pay scale of Assistant Professor and above shall consist of the following members, namely:-

Member.".

(1)	Director or Deputy Director	-	Chairman
(2)	one Expert from outside the Institute	æ	Member
(3)	Nominee of Ministry of Human Resource		
	Development	-	Member
(4)	Nominee of Board	-	Member
(5)	Registrar	-	Member.".

(e) for sub-statute (10), the following shall be substituted, namely:-

"(10) Notwithstanding anything contained in these Statutes, the Board shall have the power to make appointments of persons having special skill or knowledge to suit the emergent need of the department or centre and in such emergent situations, the appointments shall be for a period of twelve months.".

13. In Statute 24 of the Principal Statutes, for clause (i), the following clause shall be substituted, namely:-

"(i) Subject to the provisions of the Act and the Statutes, all appointments to posts under the Institute shall be made on probation for a period of one year after which period the appointee, if confirmed, shall continue to hold his office subject to the provisions of the Act and the Statutes, till the end of the month in which he attains the specified maximum age for teaching posts, for technical non-teaching and ministerial and administrative posts as the case may be:

Provided that the appointing authority shall have the power to extend the period of probation of any employee of the Institute for a period not exceeding one year.".

14. For Statute 25 of the Principal Statutes, the following shall be substituted, namely:-

"25. CODE OF CONDUCT FOR PERMANENT EMPLOYEES

The code of conduct for employees shall be made by each Institute in consultation with the Central Government and till such time the code of conduct for employees is framed, the Institute shall follow the Central Civil Services (Classification, Control and Appeal) Rules, 1965.".

15. For Statute 26 of the Principal Statutes, the following shall be substituted, namely:-

(d)

### "26. SUSPENSION, PENALTIES, DISCIPLINARY PROCEEDINGS

The Central Civil Services (Classification, Control and Appeal) Rules, 1965 shall apply to all the employees.".

16. For Statute 29 of the Principal Statutes, the following shall be substituted, namely:-

### "29. PROVIDENT FUND AND PENSION SCHEMES

Employees of the Institute appointed prior to 01.01.2004 will be governed by Central Civil Services (Pension) Rules, 1972 and General Provident Fund (Central Services) Rules, 1960 and the Employees appointed on or after 01.01.2004 will be governed by New Pension Scheme of the Central Government.".

17. In the Schedule, after Schedule 'D' and the entries relating thereto, the following Schedule shall be inserted, namely:-

#### "Schedule 'E'

[See Statute 23 (5) (a)]

### Qualification and other terms and conditions of appointment of Academic Staff

Sl.No.	Designation, Pay Band and Academic Grade Pay	Essential Qualification	Essential Requirements	Cumulative Essential Credit Points
(1)	(2)	(3)	(4)	(5)
1.	*Assistant Professor (On contract) Pay Band-3 with Grade Pay of Rs.6000	Ph.D.	NIL	NIL
2.	*Assistant Professor (On contract) Pay Band-3 with Grade Pay of Rs.7000	Ph.D.	one year post Ph.D. experience of Teaching and Research in Institution of repute or Industry	10
3.	*Assistant Professor Pay Band-3 with Grade Pay of Rs.8000 with a minimum pay of Rs.30000	Ph.D.	three years after Ph.D. or six years total teaching and research experience in reputed academic Institute or Research and Development Labs or relevant industry.	20
4.	Associate Professor Pay Band-4 with Grade Pay of Rs.9500 with a minimum pay of Rs.42800	Ph.D.	six years after Ph.D. of which at least three years at the level of Assistant Professor with Academic Grade Pay of Rs.8000; Or nine years total working experience, of which three years should be after Ph.D., with at least three years at the level of Assistant Professor with Academic Grade Pay of Rs.8000.	50
5.	Professor Pay Band-4 with Grade Pay of Rs.10500 with minimum pay of Rs.48000	Ph.D.	ten years after Ph.D. or thirteen years total working experience, out of which seven years should be after Ph.D. At least three years at the level of Associate professor with Academic Grade Pay of Rs.9500 or four years at the level of Associate Professor with Academic Grade Pay of Rs.9000 or combination of Rs.9000 and Rs.9500 or equivalent in an Institution of repute or Research & Development lab or relevant industry.	80

13

### THE GAZETTE OF INDIA: EXTRAORDINARY

Sl.No.	Designation, Pay Band and Academic Grade Pay	Essential Qualification	Essential Requirements	Cumulative Essential Credit Points
(1)	(2)	(3)	(4)	(5)
6.	Professor (Higher Administrative Grade Scale) Rs.67000–79000	Ph.D.	Six years as Professor with Academic Grade Pay of Rs.10000 or Rs.10500 or a combination of Rs.10000 and Rs.10500 in an Institute of National Importance.	150

Note 1:

- Any change in the grade pay will be through open advertisement and on recommendation of duly constituted selection committee, except where specifically exempted in these rules.
- (2) All new entrants shall have Ph.D. in the relevant or equivalent discipline and shall have first class in the preceding degrees.
- (3) For existing faculty members who completed their Ph.D. along-with their normal teaching load of Institute or quality improvement programme, the enrolment period of Ph.D. will be counted as teaching experience.
- (4) Contribution to Institute Administration shall be recommended by concerned Head or Chairman and approved by the Director. Contribution to departmental Administration shall be recommended by concerned Head and approved by the Director.
- (5) For the departments which are not having any vacancy, movement in higher Academic Grade Pay or cadre shall be carried out as per specified selection process but it will be restricted to only for serving faculty members of the respective departments.
- (6) The permanent faculty members who have put in more than ten years experience, but have not acquired Ph.D. qualification as on the date of these notification shall be mapped into four-tier flexible system as one time measure as per following norms:
  - (a) Permanent faculty with age fifty or above:
    - (i) The Assistant Professors with Academic Grade Pay of Rs.7000 shall be mapped at the level of Assistant Professor with Academic Grade Pay of Rs.8000, provided they have at least 10 credit points in their lifetime.
    - (ii) The Assistant Professors with Academic Grade Pay of Rs.8000 shall be mapped at the level of Associate Professor with Academic Grade Pay of Rs.9500, provided they have at least 25 credit points in their lifetime.
    - (iii) The Associate Professors with Academic Grade Pay of Rs.9000 shall be mapped at the level of Associate Professor with Academic Grade Pay of Rs.9500, provided they have at least 25 credit points in their lifetime:

Provided, they have been found suitable through a Selection Committee duly constituted under the Statutes.

(b) Permanent faculty members less than fifty years of age shall be sponsored for Ph.D. in any of the Indian Institutes of Technology or National Institutes of Technology duly provided a facility to take study leave of three-years from their respective National Institute of Technology and on completion of the Ph.D., they shall compete to get into the four tier system as per the new recruitment rules.

(7) For faculty in the Department of Architecture, following shall be essential qualification without insisting on credit point requirements at Assistant Professor level:

- M.Arch. or M.Plan. with one year professional experience: Assistant Professor at Academic Grade Pay of Rs. 6000;
- M.Arch. or M.Plan. with two years of professional experience: Assistant Professor at Academic Grade Pay of Rs. 7000;
- (iii) For higher cadres the educational qualifications and credit point requirement shall remain same as given in the table for Engineering and Sciences.

Note 2: Credit Point System

The following shall be the credit point system:

S.No.	Activity	Credits points
1.	One external Sponsored Research and Development Projects completed or ongoing or Patent granted	8 credit points per project or 8 credit points per patent as inventor (in case of more than one person in a Project, the Principal Investigator gets 5 credit points and the rest to the divided equally among other members)
2.	Consultancy projects	2 credit points @ Rs.5 lakhs of consultancy, subject to maximum of 10 Credit points
3.	Ph.D. completed (including thesis submitted cases)	8 credit points per Ph.D. student (in case there are more than one supervisor, then the Guide (1 <sup>st</sup> Supervisor) gets 5 credit points per student and the rest to be divided equally among other supervisor
4.	One Journal papers in Science Citation Index or Scopus (Paid Journals not allowed)	4 point per paper since the last promotion. First author or Main supervisor will get 2 point and rest will be divided among others.
5.	One Conference paper indexed in Science Citation Index or Scopus or Web of science Conference or any internationally renowned conference	1 credit points per paper up to a maximum of 10 credit points. First author or Main Supervisor will get 0.6 and rest will be divided among the rest.
6.	Head of the Department, Dean, Chief Warden, Professor Incharge (Training and Placement), Advisor (Estate), Chief Vigilance Officer, Pl (Exam), TEQIP (Coordinator)	2 points per semester up to a max of 16 credits points since the last promotion.
7.	Warden, Assistant wardens, Associate Dean, Chairman or Convener institute academic committees, Faculty In charge Computer Center or Information and Technology Services or Library or Admission or student activities and other Institutional activities	1 credit point per semester up to a maximum of 8 credits points since the last promotion.
8.	Chairman and Convener of different standing committee and special committee (Ex officio status will not be considered). Faculty incharges (Each for one year duration) of different Units or equivalent	0.5 credit point per Semesters up to a maximum of 3 credits points since the last promotion.
9.	Departmental activities identified by Head of the Department like lab in charges, or department level committee for a minimum period of one year.	0.5 credit point per Semesters up to a maximum of 3 credits points since the last promotion.
10.	Workshop or Faculty Development Program or short term courses of min 05 working days duration offered as coordinator or convener	2 credit points per course up to a maximum of 8 credits points since the last promotion.
11.	For conducting national programs like Global Initiative of Academic Networks etc. as course coordinator	2 credit points per course up to a maximum of 4 credit points since the last promotion.
*	Program of two weeks duration Program of one week duration	1 credit point per course up to a maximum of 2 credit points since the last promotion.
12.	National or International conference organized as Chairman or Secretary	3 credit points per program up a maximum of 6 credits points since the last promotion.
13.	Length of service over and above the relevant minimum teaching experience required for a given cadre	2 credit points per semester with maximum of 10 credit points since the last promotion.
14.	Establishment of New Lab(s)	4 credit points since the last promotion.
15.	Theory Teaching of over and above 6 credit hrs. course	1 credit point or credit hrs. up to a maximum of 6 credit points since the last promotion.

S.No.	Activity	Credits points
16.	Post Graduate Dissertation guided	0.5 credit point per project to a maximum of 10 points since the last promotion.
17.	Under Graduate Projects	0.25 credit point per project up to a maximum of 4 points since the last promotion.
18.	Text or Reference Books published on relevant subjects from reputed international publishers	6 credit points per book up to a maximum of 18 points since the last promotion.
19.	Text or Reference book published on relevant subjects from reputed national publishers or book chapters in the books published by reputed international publishers	2 credit points per unit up to a maximum of 6 points since the last promotion.
20.	Significant outreach Institute out activities	1 credit point per activity up to a maximum of 4 credit points since the last promotion.
21.	Fellow IEEE, FNA, FNAE, FNASc	10 credit points
22.	Placement percentage (only for the placement cel	l officers or Faculty incharge of Placement)
	Above 85%	4 credit points per year upto a maximum of 20 points since the last promotion.
	<ul><li>75% - 84%</li><li>(% to be based on total number of students passing out and single job offer)</li></ul>	2 credit points per year upto a maximum of 10 points since the last promotion.
		,

[F. No. 22 - 5/2006-TS. III]

R. SUBRAHMANYAM, Addl. Secy.

Note : The principal Statutes were published in the Gazette of India, Extraordinary, Part II, Section I, sub-section (i) vide notification number G.S.R. 280(E) dated the 23<sup>rd</sup> April, 2009 and subsequent amendment was published in the Gazette of India, Extraordinary, Part II, Section I, sub-section (i) vide notification number G.S.R. 837(E) dated the 5<sup>th</sup> November, 2015.

RAKESH SUKUL Digitally signed by RAKESH SUKUL Date: 2017.07.25 22:30:45 +05'30'

## F.No.33 - 9 / 2011 - TS.III Government of India Ministry of Human Resource Development Department of Higher Education

Shastri Bhawan, New Delhi, dated, the 16<sup>th</sup> April, 2019

То

The Directors of all the National Institutes of Technology (NITs) and Director, IIEST, Shibpur.

Subject:- Implementation of Recruitment Rules for Faculty of NITs and IIEST - issue of clarifications as per recommendations of the Oversight Committee - regarding.

### Sir \ Madam,

I am directed to refer to this Ministry's Order of even number dated 15<sup>th</sup> May, 2018 vide which an Oversight Committee was constituted under the Chairmanship of Prof. Sivaji Chakravorti, Director, National Institute of Technology, Calicut (Kerala) to look into further issues / anomalies, which are not yet covered / addressed in the revised Recruitment Rules (RRs) notified for Faculty on 24<sup>th</sup> July, 2017 and issued on 20<sup>th</sup> December, 2017 for Non-Faculty staff of the National Institutes of Technology (NITs) and Indian Institute of Engineering Science and Technology (IIEST), Shibpur (West Bengal).

2. At the same time, the Directors of all the NITs and IIEST, Shibpur were requested to forward the left out anomalies / issues in the RRs to the Chairman of the Oversight Committee. Accordingly, the Oversight Committee received suggestions / representation from various NITs and IIEST, Shibpur. The Oversight Committee has looked into the issues / anomalies, which are not yet covered / addressed in the revised Recruitment Rules of Faculty and Non-Faculty staff and has submitted its reports in respect of faculty of NITs and IIEST, Shibpur on 27<sup>th</sup> October, 2018.

3. The observations pointed out by this Ministry were further discussed in the Oversight Committee meeting held on 19<sup>th</sup> January, 2019. The recommendations submitted by the Oversight Committee on 27<sup>th</sup> October, 2018 and 19<sup>th</sup> January, 2019, respectively, have been examined in this Ministry. The recommendations of the Oversight Committee are divided into two categories viz. (i) clarifications on existing RRs and (ii) amendments in RRs notified on 24<sup>th</sup> July, 2017. With the approval of the competent authority it has been decided

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to issue clarifications, as per recommendations of the Oversight Committee, on the following points in the first instance:-

S. No.	Clarifications sought by NITs / Faculties of NITs	Comments of the Oversight Committee (OSC)	Decision
1.	Clarification on "One-time measure"	One-time measure means completion of first round of recruitment process for respective faculty positions after amendment in Statutes dated 24 <sup>th</sup> July 2017 and subsequent approval of the process by the BoG of respective Institutes. [amended on 19.01.2019]	
	Whether experience as Post-Doctoral Fellow is to be considered or not.	International / national Post Doctoral Fellowships offered by	
3.	Clarification on Cumulative Credit Points	Amendment proposed on 19.01.2019     Credit Points mentioned at Sl.No.4 of the Table	The points, which are now non-exhaustible, in the existing RRs (2017), are as follows:-

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sought by NITs / Faculties of NITs	Oversight Committee (OSC)		Decis	ion
	on Credit Point	S. No	Activity	Credits points
	Schedule E of the Statutes 23 (5) (a) are now non- exhaustible credit points. • Credit Points mentioned at Sl.No.5 of the Table		One external Sponsored R&D Projects completed or ongoing / Patent granted	8 / project or 8 / patent as inventor (In case of more than one person in a Project, the Principal Investigator gets 5 credit points and the rest to the divided equally among other members)
	System given in Schedule E of the Statutes 23 (5) (a)	2.	Consultancy projects	2 Credit points @ Rs.5 lakhs of consultancy, subject to maximum of 10 Credit points
	credit points, i.e. after last appointment.	3.	Ph.D. completed (including thesis submitted cases)	8 per Ph.D. student. (In case there are more than one supervisor, then the Guide (1" Supervisor) gets
	The rest are Exhaustible Credit Points at every level of direct recruitment.			5 credit points per student and the rest to be divided equally among other supervisor(s))
		4.	One Journal papers in SCI / Scopus (Paid Journals not allowed)	since the last
		21	. Fellow FNA, FNAE, FASC, FNASC	10 credit points
		m a P	ientioned i re Exhaus oints at e	Credit Points in Schedule E stible Credit very level o itment.
Carry forward of Credit Points.	from one position to other, if the Exhaustible Credit Points are more than	a M il	ccept the rith lustration:	n decided to clarification following Prof. to
		Carry forward of Credit Points. Credit Points are exhaustible credit points. Credit Points mentioned at Sl.No.5 of the Table on Credit Point System given in Schedule E of the Statutes 23 (5) (a) are exhaustible credit points, i.e. after last appointment. The rest are Exhaustible Credit Points at every level of direct recruitment.	Carry forward of Credit Points.       For any movement from one position to other, if the Exhaustible Credit	Off CreditNeActivitySystem given in Schedule E of the Statutes 23 (5) (a) are now non- exhaustible credit points.1. One external Sponsored Rtb Projects• Credit points.Points mentioned at Statutes 23 (5) (a) are exhaustible credit points, i.e. after last appointment.2. Consultancy projects• Credit statutes 23 (5) (a) are exhaustible credit points, i.e. after last appointment.3. Ph.b. completed including theis statutes 23 (5) (a) are exhaustible credit points, i.e. after last appointment.3. Ph.b. completed (including theis statutes 23 (5) (a) are exhaustible credit points, i.e. after last appointment.The rest are Exhaustible Credit Points at every level of direct recruitment.4. One Journal papers in SCI / Scopus Journals not allowed)21. Fallow FNA, FNAScFor any movement from one position to other, if the Exhaustible Credit Points are more thanCarry forward of Credit Points.For any movement from one position to other, if the Exhaustible Credit Points are more than

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S. No.	Clarifications sought by NITs / Faculties of NITs	Comments of the Oversight Committee (OSC)	Decision
		Credit Points for the selected position, then differential Credit	
		Points from the Exhaustible Credit Points shall be carried forward to the Exhaustible component only.	20 Non-exhaustible Credit Points And 60 Exhaustible Credit Points, carried
		Illustration: . Assistant Prof. to Associate Prof.: Minimum Required Credit Points: 50 i. For a candidate having 20 Non- exhaustible Credit Points and 60 Exhaustible Credit Points, carried forward Credit Points will be 20+ (60-50) = 30 ii. For a candidate having 40 Non- exhaustible Credit Points and 40 Exhaustible Points, carried forward Credit Points, carried forward Credit Points and 40	30 Non-exhaustible Credit
5.	Clarification on First class.	Points will be 40+0=40. As specified by the respective University / Institution. If not specifically mentioned by the University / Institution, then 60% marks or 6.5 CGPA.	The Ministry vide letter dated 31.01.2018 clarified that "the new entrant means a candidate who is not existing faculty of concerned NIT.
		New entrants are as defined in MHRD letter No. 33-9/2011-TS. III, dated 31 <sup>st</sup> January 2018. Faculty members	Therefore, existing faculty will not be considered as new entrant."

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S. No.	Clarifications sought by NITs / Faculties of NITs	Comments of the Oversight Committee (OSC)	Decision
		appointed in regular pay scale through duly prescribed selection processes will be considered as existing faculty in subsequent selection in the respective Institute.	continue with the same while agreeing to the clarification on first class degree.
6.	Clarification on "Preceding Degrees"	Preceding Degrees mean Bachelors' Degree onwards.	Accepted.
7.	Clarification on "Institution of repute"	Experience (including prior to implementation of NIRF) in the following Institutions will be considered:-	Accepted.
		i. Fully funded Central Educational Institutions	
		ii. IIMs and other management Institutions ranked by NIRF upto 50 for any two years;	
		iii. State Educational Institutions funded by respective State Governments;	
		iv. Other Educational Institutions ranked by NIRF upto 100 in overall, Universities, Engineering, upto 50 for Pharmacy and 10 for Architecture, for any two years.	
		However, with regard to recommendation on	

S. No.	Clarifications sought by NITs / Faculties of NITs	Comments of the Oversight Committee (OSC)	Decision
		Institute of repute, the BoGs of respective Institute may take a call on relaxing the criteria, if needed, with recorded justification.	
8.	Clarification on "Book chapter weightage"	As per existing provisions of Statutes.	Accepted.
9.	Carry forward of Credit Points for award of Ph.D. & Paper publication in between date of eligibility & date of joining.	during the period from the last date of submission of application and date of joining, which was not considered in the selection process, shall be carried forward.	
10.	Clarification on "Industry of repute"	the second se	It has been decided that the Board of respective Institute may define the criteria.
11.	Clarification on Project amount of R&D projects.		Accepted.
12.	Distribution of points for patents.	And a second	Accepted.
13.	Consultancy (Credit Point distribution)	Consultancy amount of 5 lakhs can be in a single assignment or can be in cumulative amount of multiple assignments.	
14.	Counting of Credit Points on Conference paper since last promotion.	As per existing provisions of Statutes.	Accepted.
15.	To review the	Already taken care of	Accepted.

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5. No.	Clarifications sought by NITs / Faculties of NITs	Comments of the Oversight Committee (OSC)	Decision
	comments about since "last promotion"		
16.	Clarification regarding the	1: (1), any change in the AGP in 6 <sup>th</sup> CPC / Level in 7 <sup>th</sup> CPC, is through direct recruitment through open advertisement. Therefore, the term "promotion" used in RRs / Guidelines / MHRD communications should be read as "Appointment through Direct Recruitment".	
17.	Scale to Professors:	40% of total no. of Professors in position.	Accepted.

4. All the NITs and IIEST are advised to place the recommendations of the Oversight Committee (as indicated in para 3 above) before the Board of Governors for its adoption and ensure strict adherence of the instructions.

5. This issues with the approval of the competent authority in the Ministry.

Yours faithfully, .

[K. Rajan] Under Secretary to the Government of India Tel: 23384159

Copy to:-

- (i) The Chairperson, Board of Governors of all the NITs and IIEST, Shibpur.
- (ii) PS to HRM / PS to MoS (SPS) for kind information.
- (iii) Webmaster, MNIT, Jaipur with a request to upload the communication on the website of the Council of NITSER.
- (iv) Guard File.

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## **ANNEXURE - III**

<u>F.No.35 - 5 / 2017 - TS.III</u> Government of India Ministry of Education Department of Higher Education

> Shastri Bhawan, New Delhi, dated, the 27<sup>th</sup> October, 2020

To

(i) The Directors of all 31 NITs; and

(ii) The Director, IIEST, Shibpur (W.B.)

Subject:- Amendments in the Statutes of NITs and IIEST, Shibpur request to convey recommendations of the Board of Governors of the Institute - regarding.

Sir / Madam,

I am directed to refer to this Ministry's communication F.No.33-9/2011-TS.III dated 16<sup>th</sup> April, 2019 (copy enclosed) vide which the clarifications, as per recommendations of the Oversight Committee constituted to look into further issues / anomalies in the Faculty Recruitment Rules notified on 24<sup>th</sup> July, 2017, were conveyed to all the NITs and IIEST, Shibpur for its adoption and implementation.

2. As mentioned in para 3 of the aforesaid communication, the recommendations of the Oversight Committee were divided into two categories viz. (i) clarifications on existing RRs; and (ii) amendments in RRs notified on 24<sup>th</sup> July, 2017. The clarifications linked with point (i) have already been conveyed to the NITs & IIEST, Shibpur, however, the amendments linked with point (ii) were placed before the Council of NITSER in its 12<sup>th</sup> meeting held on 25<sup>th</sup> September, 2019. The Council of NITSER in its above meeting approved the recommendations of the Oversight Committee and authorized the Ministry to carry out necessary amendments in the Statutes of NITs and IIEST, Shibpur for smooth implementation of Faculty Recruitment Rules (2017). The minutes of the Council's meeting were conveyed vide our letter No.F.35-9/2019-TS.III dated 26<sup>th</sup> December, 2019.

3. In accordance with the decisions of the Council of NITSER taken in its 11<sup>th</sup> and 12<sup>th</sup> meetings, respectively, the following amendments are to be carried out in the Statutes of NITs and IIEST, Shibpur:-

Statutes No.	Existing Provisions	Gist of Amendments
(2)	conditions of	Considering the amendments approved by the Council in its 12 <sup>th</sup> meeting held on 25.09.2019 and

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Page 1 of 4

No.	Existing Provisions	Gist of Amendments
	Staff (excluding Director), or promotion shall be as specified in Schedule 'E' and the Selection Committee for making recommendations for appointment of Academic Staff (excluding Director) shall consist of the following members, namely:-	of incorporating the clarifications, issued in past, under the ambit of the Statutes in exercise of provisions under Clause (3) of Statute 23, it is proposed to substitute Schedule 'F' of Statutes
		While incorporating above, the revised Schedule 'E' shall comprise following recommendations of Oversight Committee, as approved by the Council:-
		<ul> <li>(a) one-time relaxation to all regular Faculty =&gt;50 years with Ph.D. to be eligible for mapping to higher positions with lesser credit points;</li> <li>(b) amendment in qualifications in RRs for Faculty in Architecture / Planning; and</li> <li>(c) replacement of words "since the last promotion" with words "since the last appointment" in Schedule 'E' of the Statute 23 (5) (a) of Statutes of NITs and IIEST.</li> </ul>
8 (xiii)	make recommendations to the Board to disseminate knowledge through distance learning mode to various parts of the State or country or abroad. In the cases of signing of agreement with the foreign agency, agreement may be signed with the approval of the Ministry;	make recommendations to the Board to disseminate knowledge through distance learning mode to various parts of the State or country or abroad. In the cases of signing of agreement with the foreign agency, agreement may be signed with the approval of the Ministry;
23 (3) Appoint	For the purposes of appointments in the	For the purposes of appointments in the Institute, the rules as

Page 2 of 4

Statutes No.	Existing Provisions	Gist of Amendments
	approved by the Council or Central Government shall apply.	Government shall apply and deemed incorporated under the Statutes from the date of their communication by the Council or Central Government.
23 (12) [Appoint ments]	At the time of interview, the Selection Committee shall examine the credentials of all candidates who have been called for the interview, interview the eligible candidates and recommend the appointment of the most suitable candidate to the competent authority for approval.	At the time of interview, the Selection Committee shall examine the credentials of all candidates who have been called for the interview, interview the eligible candidates other than for the posts carrying pre-revised Grade Pay of Rs.4600/- or less [i.e. Level 7 of 7 <sup>th</sup> CPC] and recommend the appointment of the most suitable candidate to the competent authority for approval.
In Statute 3, after sub- statute (16), sub-	-	Subject to the provisions of Section 24 of the Act, the appointment of the staff in every Institute, except that of the Director, shall be made in accordance with the procedure laid down in the Statutes, by-
statute (17) shall be inserted		<ul> <li>(a) the Board, if the appointment is made on the academic staff in the post of Assistant Professor (or equivalent) or above or if the appointment is made on the non-academic staff in any cadre the maximum of the pay scale for Group A employees;</li> <li>(b) the Director, in any other case.</li> </ul>
23 Appoint ments]	Sequential Changes	<ul><li>23 (17) is to be changed to 23 (18).</li><li>23 (18) is to be changed to 23 (19).</li></ul>
-	Ministry of Human Resource Development	For the words Ministry of Human Resource Development and the places where it occurs, the words Ministry of Education shall be substituted.

4. The Council of NITSER authorized the Ministry of Education (formerly Ministry of Human Resource Development) to notify the amendments in the Statutes incorporating thereby the recommendations of the Anomaly Committee and Oversight Committee in the Schedule 'E'. It is, therefore, requested that the modifications, as per recommendations of the above mentioned Committees and as approved by the Council of NITSER, indicated in para 3 of this communication may kindly be placed before the Board of Governors of your Institute for its adoption and passing suitable resolution so as to enable this Ministry to notify the amendments at the earliest possible.

5. As the amendment in the Statutes shall be carried out in consultation with the Ministry of Law and Justice, therefore, the proposed amendments may undergo some modifications from drafting angle. The Ministry will ensure that the amendments are in accordance with policy, intention, requirements and decisions taken by the Council.

6. As this is a time bound task, therefore, it is requested to kindly accord it top priority and convey the resolution of the Board of Governors of Institute, before 31<sup>st</sup> October, 2020, in accordance with provisions under Section 26 (2) of the NITSER Act, 2007.

7. This issues with the approval of the competent authority in the Ministry.

Yours faithfully,

Kuman

[Pawan Kumar] Under Secretary to the Government of India Tel: 23384897

Encl.: as above.

Copy to:- The Chairpersons, Board of Governors of National Institutes of Technology (NITs) for information and further necessary action.

Page 4 of 4

#### Minutes of the 22<sup>nd</sup> BoG Meeting held on 21<sup>st</sup> December 2020

4. Proposal for opening of Internal Audit Cell and appointment of an Accounts Officer	<ul> <li>4.BoG approved the proposal to open an Internal Audit Cell and allocating the responsibility of Internal Audit officer</li> <li>to Shri Laishram Ibocha Singh, retired Sr. Audit Officer who was appointed as Accounts Officer on contract basis and joined the institute on 16<sup>th</sup> December, 2019 and to appoint a retired person as Accounts officer on contract/outsourced basis in place of Shri Laishram Ibocha Singh.</li> </ul>	Accounts Officer to
--	--	---------------------

# ITEM NO. 22.3: To review the rules and regulations of Trainee Teachers at NIT Manipur as per Order No.F.23 – 12/2009 – TS.III (Pt.)

22<sup>nd</sup> BOG approved the following points related to Trainee Teachers:

- 1. Employment status of Trainee Teachers- Trainee Teacher will be considered as Trainee Teacher over riding the Item number 15.9 of 16th Finance committee meeting minutes of NIT Manipur.
- 2. Reimbursement of full registration fee of Trainee Teachers- All the fees towards M. Tech and PhD programme will be reimbursed w e.f 21-12-2020.
- 3. Corrections in the salary slip of the Trainee teachers- Corrections are to be done as per guidelines of MHRD for Trainee teachers.
- 4. Utilization of Contingency fund- Director NIT Manipur is authorized to sanction the Utilization of Contingency fund on case-to-case basis for Trainee teachers.

## ITEM NO. 22.4: To consider and approve the Special Cash package equivalent in lieu of Leave Travel Concession Fare for Central Government Employees during the block 2018-21

The 22<sup>nd</sup> BoG approved the Special Cash package equivalent in lieu of Leave Travel Concession Fare for Permanent Employees only during the block 2018-21, w.e.f date of issue of letter by Central Government vide order no F.No.12(2)/2020-EII(A), dated 12<sup>th</sup> October 2020 and subsequent instructions.

ITEM NO. 22.5: To ratify and adopt the Amendments in the Statutes of NITs and HEST, Shibpur

The 22<sup>nd</sup> BoG ratified the amendments in the Statutes of NITs and IIEST proposed by the NIT council in its 11<sup>th</sup> and 12<sup>th</sup> meeting which were circulated to all the members and approved by circulation by the members.

# **ITEM NO. 22.6: Proposal for a decision on recruitment of Non-Faculty positions** The 22<sup>nd</sup> BoG has noted the pending recruitment of various Non-Faculty posts for a long time due to the reasons stated below:

(i) Sanctioned of additional posts before the recruitment process was started.

### <u>F.No.20-2/2022-TS.III</u> Government of India Ministry of Education (Shiksha Mantralaya) Department of Higher Education

#### Shastri Bhawan, New Delhi, dated, the 27<sup>th</sup> April, 2022

То

The Director, National Institute of Technology, Warangal, Telangana - 506004.

#### Subject: Clarification on applicability of clause (5) of Note 1 referred in Schedule 'E' of the Statutes of NITs and IIEST, Shibpur regarding.

Sir \ Madam,

I am directed to refer to the communication dated 31<sup>st</sup> January, 2022 received from the National Institute of Technology, Warangal (Telangana) seeking clarification on applicability of clause (5) of Note 1 referred in Schedule 'E' of the Statutes of NITs (amended on 24<sup>th</sup> July, 2017), which reads as under:-

"For the departments which are not having any vacancy, movement in higher academic Grade Pay or cadre shall be carried out as per specific selection process but it will be restricted to only serving faculty members of the respective departments"

2. While seeking clarification on applicability of above clause, the Institute has further stated that the above provision has not been withdrawn or amended as on date and many Institutes have been incorporating the same in their advertisements for considering the serving faculty members. On specific instructions from their BoGs, the Institute has sought clarification on including same in their ensuing advertisements for faculty recruitments and its applicability on serving faculty members.

3. In this regard, attention is drawn towards Note 1 (1) of the Statutes which states that "any change in the grade pay will be through open advertisement and on recommendations of duly selection committee, except where specifically exempted in these rules". Thus there is no provision of promotion in NITs as per the Act and statutes and open recruitment at each stage, combined with Four-Tier Flexible Faculty Cadre Structure is the

[MHRD\RAVI\D:\RR, Pay Anomaly & CAS\4-Tier Letter.docx]

cornerstone of these Institutions of National Importance to recruit and retain only the best of the best teaching talent. In flexi cadre, a faculty appointed at any level and moving forward in next level carries his own position, as his selection to higher grade won't change the actual numbers of faculty in position.

4. Note 1 (5) of the Statutes states that "for the department which are not having any vacancy, movement in higher academic grade pay or cadre shall be carried out as per specified selection process but it will be restricted to only for serving faculty members of the respective departments." The matter has been examined in the Bureau. The genesis behind keeping Note 1 (5) in Schedule 'E' of amended Statutes is that there are many NITs working with small, peripheral Departments (like Physics, Architecture etc.) having fixed numbers of faculty positions sanctioned by the Board for each of such Department. Any provision in any law / Statute cannot be read in exclusion and must be read in harmony with the entire provision.

5. Note 1 (5) helps NITs to retain their academic talent; however, there may be chances that the same may be used for giving undue advantage, restricting outside faculty from participating in the recruitment process and would thus be violative of both letter and spirit of the Act and Statutes. As such, with regard to the application of Note 1 (5) of Schedule 'E', it is clarified that excessive reliance on Note 1(5) may lead to dilution of overarching Four-Tier Flexible Faculty Cadre Structure wherein equal opportunity of competition is to be extended to fresh candidates as well as internal candidates. It may lead to dilution in quality of faculty recruitment.

6. This issues with the approval of the Chairperson of Council of NITSER in accordance with the provisions under Section 32 (2) (b) of the National Institutes of Technology, Science Education and Research (NITSER) Act, 2007 and Statutes 23 (3) of the Statutes of NITs and IIEST, Shibpur.

Yours faithfully,

[Indrajit Kuri] Under Secretary to the Government of India Tel: 23384197

Copy for information and further appropriate action to:-

- (i) The Directors of all 31 NITs; and Director, IIEST-Shibpur (W.B.).
- (ii) The Registrars of all 31 NITs; and Registrar (I/c), IIEST-Shibpur (W.B.).

[MHRD\RAVI\D:\RR, Pay Anomaly & CAS\4-Tier Letter.docx]

## F.No.33 – 9 / 2011 – TS.III Government of India Ministry of Human Resource Development Department of Higher Education NITs Division

Shastri Bhawan, New Delhi, dated, the 15<sup>th</sup> January, 2014

То

The Directors of the 30 NITs.

# Subject:- Implementation of 4 – tier flexible faculty structure in the National Institutes of Technology (NITs) – regarding.

Sir / Madam,

I am directed to refer to this Ministry's letter of even number dated 23<sup>rd</sup> August, 2013 and 12<sup>th</sup> December, 2013 on the subject cited above.

2. The meeting of the Committee constituted to devise norms for implementation of the four-tier flexible cadre (faculty) structure for both the new and the existing faculty in NITs, IIITs and ISM–Dhanbad was held under the Chairmanship of Additional Secretary (TE) on 16<sup>th</sup> December, 2013 (Monday) at Ministry of Human Resource Development, Shastri Bhawan, New Delhi.

- The Committee in its aforesaid meeting resolved the following:-
  - That there would be no automatic migration to the salaries and AGP's of the four-tier flexible faculty structure;
  - Any change of the Grade Pay under Four-Tier will be purely through open advertisements and on the recommendation of duly constituted Selection Committees;
  - (iii) Those who do not fulfill the essential qualifications, relevant experiences and other essential requirements as in <u>Annexure</u> <u>– I [pages 3 to 4]</u>, however, will continue in the present grade;
  - (iv) As an eligibility criteria for the post of Professor, the requirement of minimum four year's experience at the level of Associate Professor shall mean that of Associate professor under the Four-Tier System i.e. at the AGP of ₹9,500/-. Associate Professors of three tier system with Academic Grade Pay of ₹9,000/- shall also be eligible but with seven year's experience, if they meet the relevant academic requirements for the post;

....contd./-

[RAVID:IRR, Pay Anomaly & CASIRR - Faculty (15.01.2014).doc]

Page 1 of 22

#### F.No.33 - 9 / 2011 - TS.III

- Professors with ₹10,500/- AGP will only be considered for HAG (v) Scale in accordance with Ministry's letter dated 22<sup>nd</sup> March, 2013 and the concerned Professor has to have 6 (six) years of service in AGP of ₹10,000/- or higher; and
- The academic criteria as approved by the Council of NITs (vi) [Annexure - I] has to be used in conjunction with the Ministry's letter F.No.33-9/2011-TS.III dated 23rd August, 2013 [Annexure - II (pages 5 to 7)] for selection under four-tier flexible pay structure.

The Recruitment Rules and other guidelines to be adopted by the 4. Board of Governors (BOGs) are attached at Annexure - III [pages 8 to 22].

The NITs are advised to strictly adhere the aforesaid instructions for 5. both the new and the existing faculty in NITs.

This issues with the approval of the competent authority in the 6. Ministry.

Yours faithfully.

[Rajesh Singh] Director (NITs)

Encl.: as above.

[RAVID:RR. Pay Anomaly & CASIRR - Faculty (15.01.2014).doc]

Copy to:-

The Chairpersons, Board of Governors of National Institutes of 1. Technology (NITs) for information and further necessary action. 2. Guard File.

[Rajesh Singh] **Director (NITs)** Tel: 23073687

ANNEXURE - I

# Prescribed Minimum Qualification and Experience for Faculty positions of NATIONAL INSTITUTES OF TECHNOLOGY

(Under four tier flexible faculty structure)

Designation, Pay Band and Academic Grade pay	Essential Qualifica tion	Relevant Experience	Other essential requirements	Additional Desirable requirements
Assistant Professor (On contract) PB – 3 with Grade Pay of ₹6000/- + 07 additional non-compounded increments.	Ph.D.	None	None	One publication in an SCI Journal.
Assistant Professor (On contract) PB – 3 with Grade Pay of ₹7000/	Ph. D.	01 year.	One paper accepted for publication in SCI Journal.	Two papers in SCI Journals or one patent; may be based on Ph.D. work.
Assistant Professor PB – 3 with Grade Pay of ₹8000/	Ph. D.	03 years after Ph.D. or 06 years total (not counting Ph.D. enrolment period) after obtaining M. Tech. degree.	02 papers in SCI journals outside Ph.D. work. One ongoing sponsored project for candidates from academia. Two experiments or computational projects added to teaching laboratories where appropriate.	One Ph.D. supervision ongoing; 01 Patent; Experience in industry or R&D lab. of repute; M.Tech., M.Sc. or B.Tech. project supervision on live industrial problems.
<b>Associate Professor</b> PB – 4 with Grade Pay of ₹9500/	Р. D.	06 yrs after Ph.D. or 09 years total (not counting Ph.D. enrolment period), out of which 03 years should be after Ph.D. Three years at the level of Assistant Professor with AGP of ₹8000/- or	04 papers in SCI journals after Ph.D.; One Ph.D. guided as sole or principal supervisor plus one continuing. Two projects ongoing or one ongoing plus one completed. Two experiments or computational projects added to teaching laboratories where appropriate. Academic outreach activity equivalent to two self financed short term courses.	01 or more patents; Supervising two or more students for Ph.D.; Strong liaison with industry; Offering courses through application of ICT.

Page 3 of 22

[RAVID/RR, Pay Anomaly & CAS/RR - Faculty (15.01.2014).doc]

Designation, Pay Band and Academic Grade pay	Essential Qualifica tion	Relevant Experience	Other essential requirements	Additional Desirable requirements
		equivalent in a reputed university, R & D Lab. or relevant industry.		
<b>Professor</b> PB – 4 with Grade Pay of ₹10,500/	Ч. О.	10 years after Ph.D. or 13 years total [not counting Ph.D. enrolment period] out of which 07 years are to be after Ph.D.: At least three years to be at Associate Professor level in an institution of repute.	Two Ph.D. degrees guided in Career as sole or principal supervisor. The following during the past 04 years; (i) 03 papers in SCI journals; (ii) One High value sponsored or consultancy project; (iii) Academic outreach activity equivalent to two self- financed courses offered as coordinator and main teacher; (iv) Two experiments or computational design projects with added to teaching laboratories where appropriate.	One or more Patents; Supervised more than three students for Ph.D.; Preparing E-Learning material. At least one self-financed short-term course offered every year. Strong liaison with industry. Offering significant support to institute management; High value sponsored or consultancy projects.
<b>Professor</b> HAG Scale ₹67,000 - ₹79,000/	Р. D.	Six years as Professor with AGP of ₹10,000/- or ₹10,500/- in an institute of national importance.	04 Ph.D.s guided as sole or principal supervisor plus at least one full time resident student continuing. The following during the past six years (i) 04 papers in SCI journals; (ii) 02 high value sponsored or consultancy projects plus one ongoing; (iii) Academic outreach activity equivalent to 03 self-financed short- term courses offered as coordinator and main teacher; (iv) Three experiments or computational projects added to teaching laboratories (v) Significant contribution to institute management through personal initiative in responsible positions.	Truly significant contribution in one area – publications, writing of text books or reference books, sponsored projects, consultancy and support to industry, E-learning packages, creative contribution to institute's welfare.
			*******	

Page 4 of 22

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#### ANNEXURE - II

#### F.No.33 – 9 / 2011 – TS.III Government of India Ministry of Human Resource Development Department of Higher Education NITs Division

Shastri Bhawan, New Delhi, dated, the 23rd August, 2013

To

The Directors of all the 30 NITs.

Subject:- Implementation of 4 – tier flexible faculty structure in the National Institutes of Technology (NITs) – regarding.

Sir / Madam,

I am directed to state that the Council of NITs in its 3<sup>rd</sup> meeting held on 18.11.2011 under the Chairmanship of Hon'ble HRM vide Item No.3.17 resolved that individual NITs will be allowed to adopt the 4-tier flexible faculty cadre structure. It has further been reiterated by the Committee constituted under the Chairmanship of Dr. Anil Kakodkar to review the NITs system, which in its first meeting held on 12.02.2012, deliberated that it is desirable that NITs align with IITs in terms of 4-tier flexible system.

2. The Ministry of HRD vide its letter No.F.33 - 7 / 2011 - TS.III dated 14.03.2012 issued guidelines on promotion of faculty members in NITs under Career Advancement Scheme (CAS) wherein under para 4(s), it was specifically mentioned that all the NITs will be required to adopt 4-tier flexible faculty structure (presently operating in IITs, IIMs, IISERs and NITIE) and that vide this Ministry's letter No.F.33-7/2011-TS.III dated 18.03.2013, it was informed that the CAS policy has been dispensed with effect from  $30^{th}$  April, 2013.

3. In light of the above, the proposal for switching over to a 4-tier flexible faculty structure is now concurred by the Integrated Finance Division of this Ministry and approved by the Hon'ble HRM in his capacity as the Chairman of the Council of NITs for adoption / implementation by the respective NITs, after adoption by the respective Board of Governors. The approved norms of 4-tier flexible faculty structure are enclosed for implementation by the NITs.

Yours faithfully.

[Rajesh Singh] Director (NITs) Tel: 23073687

Encl.: as above.

Copy for information to:-

O RH. Pay Anomaly & Galid-Trar Latte

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The Chairpersons, Board of Governors of the National Institutes of Technology (NITs).

# Norms of 4- tier Cadre Structure of Faculty Posts in the National Institutes of Technology (NITs)

SI. No.	Designation, Pay Band and Academic Grade Pay	Essential Qualification and Relevant Experience
1.	Assistant Professors (On contract) PB-3 of ₹15600-39100 with AGP of ₹6,000/- p.m.	(i) Assistant Professors to be recruited on contractual basis are not part of the regular faculty cadre in NITs. Appointment at this level may be made on contract basis to enable bright young Ph.D.s scholars to teach and earn experience in premier institutions.
		(ii) At the entry level they may be placed in Pay Band PB-3 of ₹15600-39100 with Academic Grade Pay (AGP) of ₹6000/- p.m. with seven non-compoundable advance increments.
		(iii) To encourage fresh Ph.D.s to join the teaching system, at least 10% of the total faculty strength should be recruited at this level. However, relaxation in respect of educational qualifications could be given upto 25% of total Assistant Professors recruited. The reasons for such relaxations should be duly recorded and reported to the Board of Governors of the respective institutions.
		(iv) After one year of post Ph.D. experience, these Assistant Professors shall be placed in the AGP of ₹7,000/- p.m.
2.	Assistant Professors PB-3 of ₹15600-39100 with AGP of ₹8,000/- p.m.	<ul> <li>To be appointed in PB-3 to be placed at ₹22500/- with AGP of ₹8000/- p.m. For direct recruits, minimum pay in the Pay band to be fixed at ₹30,000/</li> </ul>
		(ii) For appointment as Assistant Professor, one should have a Ph.D. with first class at the preceding degree or equivalent in the appropriate branch with a very good academic record throughout and at least three years industrial/research/teaching experience excluding however, the experience gained while pursuing Ph.D.
		(iii) Assistant Professors on completion of 3 years of service shall move to Pay Band of ₹37400- 67000 (PB-4) with an Academic Grade Pay (AGP) of ₹9000/- and will, however, continue to be designated as Assistant Professor.

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SI. No.	Pay	Essential Qualification and Relevant Experience
3.	Associate Professors PB-4 of ₹37400-67000 with AGP of ₹9,500/- p.m.	<ul> <li>(i) To be appointed in PB-4 (₹37400-67000) with AGP of ₹9500/- p.m. For direct recruits. Minimum pay in the PB-4 to be fixed at ₹42,800/</li> </ul>
		(ii) For appointment as Associate Professor, one should have a Ph.D. with first class at the preceding degree or equivalent in the appropriate branch with a very good academic record throughout and a minimum of six years Teaching Industry/Research experience, or which at least three years' should be at the level of Assistant Professors, Senior Scientific Officer / Senior Design Engineer.
4.	Professors. PB-4 of ₹37400-67000 with AGP of ₹10500/- p.m.	(i) For appointment as Professor, one should have a Ph.D. with first class at the preceding degree or equivalent with a very good academic record and a minimum of 10 years experience of which at least 4 years should be at the level of Associate Professor.
		<li>(ii) For Direct Recruits, Minimum pay in the Pay Band to be fixed at ₹48,000/</li>
	Professors: HAG Scale (₹67000-79000) without any GP	(iii) Upto a maximum of 40% of the sanctioned posts of Professors after regular service of 6 years as Professor can be awarded HAG Scale of ₹67000-79000 without any GP in all NITs after fulfilling the eligibility conditions given in the guidelines for implementation of HAG scales in this Ministry's letter No.34-9/2012- TS.III dated 22.3.2013.
		(iv) While implementing the HAG scales, those Professors of NITs and CFTIs who are appointed as Directors in the NITs system by the MHRD, shall deemed to have been placed in the HAG scale notionally from the day they took charge as Directors in NITs or from the day the guidelines were issued by the Ministry vide its letter No.F.23-1/2008-TS.II dated 18.8.2009, whichever is later.

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## GOVERNMENT OF INDIA MINISTRY OF HUMAN RESOURCE DEVELOPMENT DEPARTMENT OF HIGHER EDUCATION

## <u>Recruitment Rules for the faculty posts under four – tier flexible</u> <u>faculty cadre in National Institutes of Technology (NITs)</u>

- 1. <u>Short title and commencement</u> : These rules may be called the NIT Faculty Recruitment Rules, 2013. These shall come into force from the date of their acceptance / adoption by the Board of Governors of the concerned Institute.
- Definitions : In these rules, unless the context otherwise requires;
  - a) "Act" means National Institutes of Technology, Science Education and Research (NITSER) Act, 2007.
  - b) "Statutes" means the First Statutes of the NITs and the Statutes subsequently framed by the respective NIT or framed by the Ministry of Human Resource Development.
  - c) "Service Rules" means Service Rules of the respective NIT.
  - d) "Faculty" means the Professor, Associate Professor and Assistant Professor of the NITs.
- 3. <u>Method of Recruitment and other matters</u>: The method of recruitment and other matters relating to the post of Faculty shall be specified in the Schedule annexed to these rules.
- 4. <u>Deputation / Contractual Appointments</u> : Faculty, who are appointed on contractual basis, shall be for a fixed period not exceeding five years.
- 5. Disgualification : No person,
  - Who had entered into or contracted a marriage with a person having a spouse living; or
  - (ii) Who having a spouse living, has entered into or contracted a marriage with any person.

shall be eligible for appointment to the said post;

provided that the Board of Governors may, if satisfied that such marriage is permissible under the personal law applicable to such a person and the other party to the marriage and that there were other grounds for so doing, exempt any person from the operation of this rule.

6. <u>Saving</u>: Nothing in these rules shall affect reservations, relaxations of the age limit and other concessions required to be provided for the candidates belonging to the Scheduled Castes, Scheduled Tribes, Other

Backward Classes, Ex-servicemen and other special categories of persons in accordance with the orders issued by the Central Government from time to time in this regard. These rules shall also not affect the recruitments already made or for which recruitment process has already commenced; but any appointment or promotion to higher post proposed to be made or made subsequent to the notification of these Recruitment Rules will be governed by these Recruitment Rules.

- 7. Other conditions of service : The other conditions of service of the Faculty for which no specific provisions have been made in these rules shall be regulated in accordance with such rules as are, from time to time, applicable as per the First Statutes of the NITs and the subsequent amendments. For matters not covered by the Statutes, the corresponding Central Government Rules shall be applicable.
- 8. <u>Qualifications and other requirements of Selection</u> : Qualifications and other requirements of selection for various faculty posts are given in detail in the <u>Annexure I (pages 3 to 4)</u>.
- 9. These rules are bare minimum and the Board of Governors can however fix higher benchmarks, higher than the prescribed in consultation with the Council of NITs.

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#### SCHEDULE

# <u>Recruitment Rules (RRs) for the Post of Assistant Professor,</u> <u>Associate Professor and Professor of NITs.</u>

#### 1. Name of Posts

Assistant Professor / Associate Professor / Professor of NITs.

#### 2. Number of Posts :

As per norms fixed by the Govt. of India

#### 3. Classification

Group – A (Pay Bands PB – 3 and PB – 4)

#### 4. Whether Selection post or non-Selection post:

By Direct Recruitment

#### 5. Age limit for Direct Recruitment :

Fresh appointment beyond the age of 60 years is discouraged except in the case of faculty with exceptionally brilliant research career and with ongoing or approved externally funded research projects.

#### 6. Educational and other Qualification required for Direct Recruits :

The essential qualifications, relevant experience and other essential qualifications have been given in <u>Annexure – I (page 3 to 4)</u>.

#### 7. <u>Whether age and educational qualifications prescribed for Direct</u> <u>Recruits will also apply in Case of promotees</u>:

There shall be no distinction between external and internal candidates with regard to the requirements of qualification and experience.

#### 8. Period of probation, if any :

One year. It may be extended by the respective BoG, on recommendation of the Director.

#### 9. Method of Recruitment:

Direct Recruitment.

10. In case of recruitment by promotion / deputation / absorption, grades on which promotion / deputation / absorption to be made applicable :

Not applicable.

#### 11. Basic principles of Faculty recruitment:

- a) A Ph.D. degree shall be the minimum qualification for a regular faculty position in NIT.
- b) All recruitment and pay-fixation shall be done by the BoGs of the Institutes only on the recommendations of duly constituted Selection Committees. There shall be no scope of fixing of altering pay (pay in pay-band or grade pay) outside the Selection Committee. The Selection Committee shall be the only entity empowered to consider the past services and qualifications of a candidate.
- c) Recommendations of the Selection Committee will be arrived at by discussions within the Committee. Contents of such discussions and details of transactions within the Committee will not form a part of permanent records or minutes.

# 12. <u>Distribution of posts among departments</u> / centres and <u>designations</u>:

While there is no rigid formula for distribution of sanctioned posts among the departments and centres within an Institute, pages at 19 and 20 gives a recipe for distributing sanctioned faculty posts among various departments of an Institute. But the BOG, on the recommendation of the director, shall dynamically allocate sanctioned faculty positions among the departments taking into consideration academic programmes of various departments, existing quality of faculty, expected retirements and availability of bright candidates.

There will be four designations – Professor, Associate Professor and Assistant Professor and Assistant Professor (Contract).

#### 13. Qualifications and Experience:

Qualifications and experience required for various posts as well as the selection procedure are listed at pages 3 to 4 and pages 14 to 17, respectively.

#### 14. Faculty from industry without Ph.D. degree:

There shall be necessary provision for inducting faculty from industry (or comparable organisations) with substantial professional and R&D

experience, but not having a Ph.D. degree. If in the opinion of the Selection Committee, candidates have good number (say 10) of publications in leading journals of the field, the requirement of Ph.D. degree may be waived.

#### 15. Policy on avoiding in-breeding:

Most leading universities of the world, including the best Institutes of India have an explicit or implicit policy of not inducting their own students into the faculty. To avoid such in-breeding, the NITs will follow the following policies:

- a) Candidates who have obtained or are expected to obtain their most recent degree (Ph.D.) from the Institute will normally not be considered for recruitment, except where there is a 3 years' gap (approximately) between leaving the Institute and the expected date of joining.
- b) This is not applicable to candidates who are already members of the faculty, either regular or on contract, and are pursuing a higher degree in the Institute.
- c) In special cases, where the department (at the time of shortlisting) or the Selection Committee feels that an exception needs to be made (for reasons such as severe shortage of faculty in a given academic field or exceptionally brilliant candidate or any other), the reasons for such exceptions are to be recorded in writing and put up to the Board of Governors for approval. The Board, if convinced, may confirm the selection. Such appointments will not serve as precedence.

#### 16. Multiple attempts:

In order to keep the number of candidates interviewed within practical limits, Scrutiny Committee may, if it deems fit, reject a candidate on his third or further attempt, if the candidate has failed to win the same post in two previous attempts, (either in scrutiny or selection stage), even if he meets the short-listing criteria, except when there is significant new achievement justifying an exception.

#### 17. Functioning of the Selection Committees:

While the Scrutiny Committee and Selection Committee will use all information available to them and be as quantitative as possible, their recommendations will reflect a collective decision based on accumulated professional experience which is often not possible to quantify. Committees will not be obliged to record the details of their individual reasoning process.

#### 18. <u>Auxiliary Faculty Positions:</u>

Norms for appointment of adjunct, honourary, chair, emeritus, contractual, visiting, ad hoc and temporary faculty are given at pages 21 to 23.

#### 19. Seniority of Faculty:

Personal prospects as well as responsibilities assigned by the Administration in an Institute of higher learning should be decided on academic merit, scholastic contribution and performance, rather than by service seniority.

#### 20. Maintaining National character of NITs:

As decided by the Council of the National Institutes of Technology (NITs), the Institute shall strive to recruit 50% faculty not domicile of that State in which the Institute is located.

#### 21. Miscellaneous:

A copy of these regulations including the academic criteria specified for various posts and selection procedure in <u>Annexure – I to III</u> will be made available to every member of the Selection Committee before start of interviews.

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# PROCEDURE FOR SELECTION OF FACULTY IN NIT SYSTEM

Today there is great diversity among the selection procedures being followed in institutions of higher learning in our country. Different systems have evolved in different institutes in response to their emphasis on research and teaching, historical and geographical factors. The procedure outlined here has generally, but not exactly, been followed in most IITs. The procedure is prescribed as a guideline, without insisting that it be followed religiously. Boards of Governors may opt for alternative procedures after examining their merit vis-a-vis the base line procedure given below.

- 1. The Director will create an "Advisory Committee on Faculty Recruitment (ACoFAR)" with a senior member of the faculty as the Chairman. Normally, he should be the Dean (Faculty Welfare); but Director shall have the discretion to assign the responsibility to Dy. Director or another senior Professor or handle it himself. The Chairman of ACoFAR shall be authorized to communicate with departments, candidates and experts on the advice of Director. In addition, the Committee shall discharge the following functions:
  - Examine and advise on distribution of faculty positions among various departments;
  - Proactively search for faculty candidates in India and abroad.
  - Assist the Director in examining, short listing criteria and preparing panels of short listed candidates submitted by departments;
  - d) Examine and recommend proposals for deviation in age, formal qualifications, industry experience or any other criterion or guideline;
  - e) Reservation of positions for specialization or sub-specialisation and rank of faculty to be inducted; and
  - f) Proactively search for candidates from reserved categories, and if not available after repeated attempts, prepare proposals for de-reservation in accordance with the relevant rules & regulations.
- 2. The Institute will create a panel of experts and update it on annual basis. The list will be prepared by taking inputs from departments. Director may also add extra names or delete some from the list. Normally the experts should be drawn from NITs, IITs, IIMs, IISERs, IISc, University departments, major R&D Laboratories (CSIR, ICAR, DAE, ISRO, DRDO etc) and major industry. The list, along with postal and electronic addresses, designations, specialization and other relevant particulars of proposed experts is to be placed before the Senate and then the BoG for their approval. Every higher authority shall have the power to add and delete names. In addition, fellows of INAE and the 3 science academies will be automatically included in the panel. Every attempt should be made to ensure that major specializations of each department are adequately represented in the panel.
- 3. While the above is a permanent list, upgraded periodically, preferably every year, the BOG, at its discretion, may permit Director to choose experts for every single selection process from the full panel or from specific sub panels.
- 4. As per NIT Act, the visitor shall nominate one member to the selection committee. It is observed in practice that being present in all sessions of a selection process (that spreads over two to four weeks) becomes hard on the distinguished professors who serve as visitor's nominees, and they are often unwilling to spare the time. The Ministry will recommend to the Hon'ble Visitor to nominate a panel of five distinguished persons in different subject areas to

serve as Visitor's nominees and permit institutes to invite them as per their availability and convenience.

- The director will send a copy of the panels approved by the Secretariat of the Council of NITs for records.
- 6. It is extremely important that the suggested panel of experts is examined critically by the Board and the Ministry and any member with a questionable integrity is removed.
- 7. Prior to a selection process, the Director will choose experts from the approved panels ensuring a reasonable distribution among specilisations, and to the extent possible, diversity of background, place of work etc.
- 8. In addition to the expert members of the selection committee, the Director, as Chairman of the Committee, may invite observers from SC/ST and minority communities or any other person of repute to instill confidence in the minds of the candidates and of the Institute community.
- 9. On advice of the Director, the Chairman, ACoFAR will seek from the Departments the specific specializations where new faculty is to be recruited. The HoDs will consult senior faculty colleagues and prepare the proposals to the Institute, which will be collated by the Chairman, ACoFAR and placed before the Director for approval. The Director is expected to review the proposals critically and finalize the draft advertisement including specializations, critical dates, newspapers of advertisement and other details.
- 10. Serving regular faculty members shall be eligible to apply for higher positions in their own departments irrespective of their specializations, if they satisfy other advertised criteria.
- 11. Application may be received on paper, on-line or both, depending on the technological resources of the respective Institute. In addition, the Institute will consider applications received against standing advertisement, if any, and unsolicited applications.
- 12. While applications received within the advertised closing date shall definitely be considered, late applications (upto the interview time) may be considered at the discretion and convenience of the administration.
- 13. In addition to the advertisements, all sections of the institute administration -Director, members of ACoFAR, HoDs and all faculty members will make proactive effort to attract applications from prospective candidates, without making any commitment of selection. Such efforts will include postal and email correspondence, telephonic talks and public announcement when there is an opportunity.
- 14. Applications, when received, will be organized, relevant information summarized, and sent to the departments by the Registry, for short listing. The objectives of short listing are two folds:-
  - (a) to reject applications that do not meet advertised criteria and
  - (b) to select the best candidates from the remaining list so that the member of candidates to be called for interview with the experts remains within manageable limits.

- 15. Departments will make attempt to set "short listing criteria" that can be easily implemented. But, considering the multiple attributes that need to be considered, it may become necessary to make case by case exceptions. In all such cases the general short listing criteria and the reasons for exception, if any, are to be recorded in writing. Short listing criteria may include, among others, such conditions as:
  - (i) superior academic record all through first class career or higher grades in B.Tech/M.Sc/M.Tech, higher than advertised criteria,
  - (ii) reputation of institutions from where the candidate has obtained his degrees,
  - (iii) number of unsuccessful attempts for the same post [Candidates who have been rejected in the past may be called only if there is a good reason, the reason to be recorded in writing.]
  - (iv) specialisation, including micro specialisation,
  - (v) professional service record reputation of organization where experience has been earned, nature of job, current activities etc.
- 16. The Departments' recommendations shall be placed before the Director for the final short-listing. The final list of candidates to meet the Selection Committee will be arrived at in a combined meeting of the Director, the ACoFAR, the HoD and at least three senior faculty members of the Department. In case of a lack of unanimity among the members, the director's decisions shall be final for the purpose of calling a candidate to the interview. The different viewpoints, however, will be recorded in writing and placed before the selection committee who may record their own comments for information of the BOG. The decision of the Board on the selection shall be final and binding.
- 17. In addition to formal application, candidates will be required to submit reprints/preprints of publications and list of referees. The PIC will organize collection of references and review of publications by independent referees for short listed candidates, both internal and external.
- 18. The short listed candidates will be invited by the Chairman, ACoFAR or the Registrar for personal interview with the selections committee constituted in accordance with the NIT Act and the statutes of the respective institutes. In addition, the individual institutes may seek seminar presentation in the departments, and/or any other form of academic interaction with the faculty. All such interaction will be open to the faculty and students of the institute and will be well publicized in advance to invite a decent audience. The feedback of the faculty will be communicated to the selection committee by the HoD. Candidates located outside the country or otherwise not in a position of attending personal interview, may be interviewed over video conferencing or be selected in absentia at the discretion of the selection committee.
- 19. On completion of the interview, the selection committee will record its final recommendations with signature of every member present. The Director, as chairman of the committee will be responsible for writing the recommendation. There shall be no scope for retaining individual view points or details of discussion. Any member(s) with a dissenting opinion may, however, record their observations. On a separate page( with a reference in the main page that will be presented by the Director to the BoG with his own comments on the observations.

20. The Selection Committee shall employ the same yard stick to evaluate all candidates for a post or AGP – external, internal, with or without a clear vacancy, and shall prepare a common panel of recommended candidates. Out of this panel, the vacant posts will be filled on the basis of merit without consideration of external or internal candidates.

The Selection Committee, at its discretion, may recommend to retain the panel for a maximum period of one year or next round of selection for the department, whichever comes earlier, so that vacancies caused during this period can be filled in order of merit. On completion of this period, only the internal candidates will be given promotion under CAS to be adjusted against future vacancies caused by retirement, resignation or creation of new posts, any time in future.

- 21. Recommendations of the selection committees will be placed before the BoG, along with details of sanctioned posts, reservation categories etc, for final approval and subsequent issue of appointment orders by the Registrar.
- 22. If a meeting of the BoG is not scheduled within a short period from the meeting of the selection committee, the director, with approval of the Chairman BoG, may seek the approval of members by circulation. While recommendation of the selection committee is awaiting approval of the BoG, the director may, at his discretion, inform successful candidates, but with a clear line stating that such information is awaiting approval of competent authority and is not legally binding.
- 23. All appointments regular, internal or external, will be effective from the date of the Board meeting or any later date fixed by the Board. There shall, however, be no pre-dating of an appointment.

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# **Distribution of Faculty Posts among Departments**

Every institute shall have only a finite member of faculty posts sanctioned by the ministry. The distribution of these positions among the departments will be flexible to dynamically maximize the number of faculty in position at any given time. It should be appreciated that institutes will be losers and the cause of education will be hampered if faculty positions which could be filled up in other departments are kept vacant simply because current market scenario is making faculty unavailable in a specific department. Instead of keeping vacant positions, if additional faculty are inducted in other departments, they will contribute to (a) elective courses in teaching, particularly those electives that are subscribed to by students across many departments, (b) research, (c) continuing education, (e) institute, hostel and SAC management etc. A vacant faculty post serves no one. At the same time, it is the responsibility of the Director, and of the Board, to ensure that no department starves of faculty when candidates are available and posts are used up elsewhere.

The following table may be taken as a guide for computing "normal faculty strength" in any department.

B Tech Programme (Annual Intake < 50)	= x	
B Tech Programme (Annual Intake > 50)	= 1.5 x	
Dual degree with existing M. Tech. specialization	= 0.1 x	
Dual degree with exclusive M. Tech. specialization	= 0.2 x	
Additional B Tech Programme(Each programme)	= 0.5 x	
M Tech programme(Each programme)	= 0.5 x	
M.Sc. (2 years) programme	= 0.5 x	
M.Sc (5 years) programme	= x	
MBA Programme (Annual Intake <50)	= x	
MBA programme (Annual Intake >50)	= 1.5 x	
MCA ( 3 Years ) Programme	= x	
Common theory courses for 1 <sup>st</sup> & 2 <sup>nd</sup> years (per subject)	= 0.2 x	
Common practical courses for 1 <sup>st</sup> & 2 <sup>nd</sup> years (per course)	= 0.1 x	

#### Total

= nx

#### x = [Sanctioned faculty strength] + n

The normal strength of every department shall be computed based on the above scheme, additional factors taken into consideration, rounded and approved by the Institute Senate to serve as a guideline for all future recruitment. In case of serious disagreement among members the Senate, the decision of the BOG shall be binding.

The above prescription is based on a principle of equal sharing of teaching responsibility among all faculty members irrespective of rank. In contrast with the prescription of AICTE, professors of NIT are expected to take up a larger share of the teaching job, particularly in large classes and in common fundamental subjects. This principle has the merit of providing better education in basic subjects, It frees younger faculty to pursue research, particularly those who are enrolled in Ph.D programmes.

Experienced faculty are also expected to spend less time in prepaing for classes and spend the rest of the time in institute management.

Additional factors shall include, but will not be limited to, expected student strengths in common courses, open electives, being normally offered by the department, common subjects among M Tech specializations, strength of M Tech courses etc. In general, departments and centers can be classified into two or three groups depending on the above formula and faculty strength calculated for each group.

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# Adjunct, Honorary, Chair, Emeritus, Contractual, Visiting, Ad hoc and Temporary Faculty

In addition to its regular faculty, an institute may augment its intellectual capital by hiring additional scholastic resource through different types of secondary faculty positions. Such faculty members contribute significantly to the department in terms of sharing teaching tasks and enhancing research out put. Academic contributions and decisions (e.g award of grades) of such faculty members shall have the same legal validity as those of regular faculty members. The primary purpose of hiring adjunct, honorary, chair, emeritus and visiting faculty is to receive the honor of hosting distinguished professionals and academicians, and not off-loading of routine teaching activity. In contrast, the primary purpose behind hiring ad hoc, temporary or contractual faculty is to provide routine teaching services, particularly when adequate number of regular faculty are not available.

The appointing authority of adjunct, honorary and chair professors shall be the senate while that for emeritus professors and contractual faculty shall be the BOG considering that in the latter case Government money needs to be spent on salary. Director may appoint ad hoc and temporary faculty, who need to be given appointment at short notice and do not constitute a long term responsibility of the institute. The following guidelines will given the administrative details of hiring additional faculty.

#### Adjunct Faculty

Reputed scientists, engineers, physicians, advocates, artists, civil servants, bankers and other professionals, both serving and retired(from active service), can be inducted as Adjunct faculty. They will bring reputation to the institute, add valuable expertise and practical knowledge and complement the knowledge pool of existing faculty. The following will be some broad guide lines for selection of adjunct faculty.

- (i) They must be persons of repute, comparable to at least the top one third of the regular faculty in professional expertise and reputation in their own fields and organizations.
- (ii) Adjunct faculty will supervise student projects at all levels UG to Ph.D., carry out sponsored research and consultancy, and teach courses, all these activities either independently or in collaboration with a regular faculty. They may also be members of departmental committees, if their professional experience becomes useful. While teaching courses, they may take responsibility of a full semester-long course or only a part thereof in collaboration with a regular faculty. The degree of involvement will be worked out mutually by the adjunct faculty and the Institute.
- (iii) Adjunct faculty will be appointed by the senate on recommendation of a committee headed by the director. Duration of appointment shall be between 1 and 5 years.
- (iv) Adjunct faculty will be provided with office room, secretarial services and other facilities depending on their involvement in academic activities.

(v) They shall receive no salary, fee nor any other compensation for their services. All direct expenses such as travel, accommodation, preparation of lecture material etc shall be reimbursed at actuals. (vi) Adjunct faculty may receive financial support at the discretion of the director to attend conferences in India or abroad for presenting their work done in the institute, if in the opinion of the director, he has contributed significantly to the institute's academic programme.

#### Honorary Faculty

Institutes may honour distinguished academicians including its own retired faculty members by conferring on them the status of "Honorary Faculty". This status will be same as adjunct faculty except that:-

- (i) Honorary faculty will be drawn from distinguished persons retired from active service, including the Institute's own retired faculty, who commit to be engaged in substantial scholastic activity using facilities of the Institute and contribute academic services to the institute without compensation.
- Duration of appointment shall be "for 5 years" or "for life".
- (iii) Directors of institutes appointed by the visitor in accordance with the provisions of NIT Act and statutes will automatically be "Honorary faculty for life" on completion of their tenure of service, irrespective of their level of engagement in institute activity in future.

#### Chair Professors

The Board may create a position of chair professor in a given department with or without a fixed specialization from money donated by an external agency or person. If sufficient funds are available to pay full salary and other benefits from the interest money, a new faculty post with terms identical to regular posts may be created. On the other hand, if limited funds are available, an existing regular faculty position or a secondary position under adjunct, honorary, visiting or contractual categories may be declared as an external chair where the donation received from the external agency will provide such benefits as top-up salary, travel grant or any other benefit to the incumbent.

#### **Professor Emeritus**

Faculty superannuating from service in NITs and comparable institutions may be inducted by the Board as Professor Emeritus for a maximum period of 3 years. This provision is limited to faculty with suitable externally sponsored projects or comparable activities, in addition to shouldering normal teaching responsibilities. Such appointment shall be made against sanctioned faculty posts only.

#### Faculty on Contract

When regular faculty positions cannot be filled, to Board at its discretion, may fill up sanctioned faculty positions "on contract", where the terms of separation will be far easier than those of regular faculty. Other facilities and mode of selection, to the extent possible, will be same as those for regular faculty. Examples of contractual faculty will include Assistant Professors without Ph.D. degree under the 3 tier system or Assistant Professors during the first 3 years after Ph.D. under the 4 tier system, faculty considered in absentia, and distinguished professors and engineers/scientists who have retired from other organisations.

#### Visiting Faculty

Academic personnel from universities, institutes, R&D labs, industry or Government in India or abroad, including those on sabbatical leave from other institutions or retired, may be inducted into the institutions for brief periods (Maximum 2 years), with or without remuneration. Such faculty members are expected to work full time taking academic responsibilities at par with regular faculty members. They may be appointed by Director on recommendation of the Head of the department, and a counterpart faculty member in the department who will serve as a host. Visiting faculty may be provided with mutually agreed honorarium and facilities (e.g. residential accommodation) on discretion of Director.

#### Ad. hoc appointments

To meet urgent need of faculty or to retain a brilliant candidate, the Director is empowered to make ad hoc appointment against sanctioned posts at all levels. Such appointment can be done for a maximum duration of 12 months, and shall not be extended even with breaks. A reasonable pay band, pay and AGP may be worked out, and increment may also be given as per rules. This pay shall not be binding on the selection committee, which may make its own decision, the formal appointment, if at all, shall carry its own pay unrelated to the ad hoc pay. Facilities such as residential accommodation, travel etc, normally available to faculty members, may be extended at discretion of Director. The director will make his decision basing on the recommendation of a small committee of senior faculty colleagues which will include at least one internal Board member, and one external subject expert. A Ph.D. degree with a superior academic career is a minimum requirement for ad hoc appointment at Assistant Professor level. Commensurate work experience in institutions of repute is necessary for higher posts.

#### **Temporary Faculty**

The director may recruit "Temporary faculty" against sanctioned posts to tide over serious shortage of faculty to handle UG & PG teaching load. This will be possible only in departments where the number of faculty in position, not counting teachers on long leave, is below 0.75 x normal strength. The candidates need to have at least a Master's degree in Engineering or a doctorate in science/humanities with first class [60% marks or (GPA 6.5/10)] at both bachelor's and master's level. Selection can be made on recommendation of a committee of faculty members that must include at least one internal board member and one faculty member of another department. Presence of an external subject expert is not essential.

Duration of appointment shall be one semester to start, and may be extended on semester to semester basis on recommendation of the HOD. Maximum duration of appointment in the entire career of a person shall be limited to 5 semesters. A consolidated remuneration, proportional to the assigned duties may be worked out on mutual agreement. The temporary faculty may be permitted to work full time or part time depending on the remuneration paid to him. In addition to the consolidated remuneration, director may, at his discretion, extend residential accommodation, telephone, travel and other facilities.

#### X-X-X-X-X-X-X

## F.No.33 – 9 / 2011 – TS.III Government of India Ministry of Human Resource Development Department of Higher Education

Shastri Bhawan, New Delhi, dated, the 30<sup>th</sup> November, 2017

To

The Directors of all the National Institutes of Technology (NITs) and Director, IIEST, Shibpur.

# Subject:- Implementation of Recruitment Rules for Faculty of NITs and IIEST – regarding.

Sir \ Madam,

I am directed to refer to the Gazette Notification dated 24<sup>th</sup> July, 2017 vide which the amendments in the First Statutes of NITs and Statutes of IIEST, Shibpur have been notified incorporating thereby the new Recruitment Rules for Faculty in these Institutions. Subsequently, clarification on Assistant Professor (on contract) has been issued on 13<sup>th</sup> September, 2017 (Annexure-I) and one-time relaxations to remove stagnations at the level of Assistant Professors and Associate Professors in respect of existing faculty of NITs & IIEST have been issued on 6<sup>th</sup> October, 2017 (Annexure-II) and 17<sup>th</sup> November, 2017 (Annexure-III).

2. Some of the NITs have sought clarifications from the Ministry on implementation of the Recruitment Rules. The following are clarified in this regard:-

- (i) The qualification and other terms and conditions of appointment of academic staff as mentioned in Schedule 'E' of the Statutes and one-time relaxations communicated on 6<sup>th</sup> October, 2017 and 17<sup>th</sup> November, 2017 should be strictly followed.
- (ii) Any change to the next higher grade pay shall be considered as promotion (e.g. if an Assistant Professor with AGP of Rs.6,000/moves to AGP of Rs.7,000/- will be considered promotion). However, in case of mapping specified by MHRD from AGP of Rs.9,000/- to Rs.9,500/- and AGP of Rs.10,000/- to Rs.10,500/shall not be considered as promotion.

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Page 1 of 18

#### F.No.33 - 9 / 2011 - TS.III

- (iii) The date of effect of recruitment / promotion will be the date of approval of the Board of Governors of the respective NITs / IIEST. There shall be no retrospective effect of any relaxation / change in grade pay.
- (iv) A faculty is eligible for one-time relaxation if she / he applies in the same NIT / IIEST provided that she / he fulfills all other terms & conditions specified in letters dated 6<sup>th</sup> October, 2017 and 17<sup>th</sup> November, 2017, respectively. If a faculty applies in any other NIT / IIEST, she / he should not be given relaxation. This is applicable for relaxations provided in the above letters.
- (v) The total working experience / length of mandatory service requirements as mentioned in above cited communications could be combination of services in NITs & other Institute of repute. In such cases, the Board may define the experience proportionally.

3. The guidelines / procedures related to recruitment process have also been prepared. The guidelines / procedures placed at <u>Annexure – IV</u> may also be adopted.

Yours faithfully,

[Anil Kumar Singh] Under Secretary to the Government of India Tel: 23384897

Encls .: as above.

Copy to:-

- The Chairperson, Board of Governors of all the National Institutes of Technology (NITs) and IIEST, Shibpur.
- PS to HRM / PS to MoS (SPS) for kind information.
- (iii) Webmaster, Ministry of HRD with a request to upload the communication on the website of the Ministry of HRD.
- (iv) Webmaster, MNIT, Jaipur with a request to upload the communication on the website of the Council of NITSER.
- (v) Guard File.

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#### ANNEXURE - I

#### F.No.33 - 9 / 2011 - TS.III

#### Government of India Ministry of Human Resource Development Department of Higher Education \*\_\*\_\*\_\*

Shastri Bhawan, New Delhi, dated, the 13<sup>th</sup> September, 2017

To

The Directors of all the National Institutes of Technology (NITs) and Director, IIEST, Shibpur.

Subject:- Clarification on Recruitment Rules for Faculty in NITs and IIEST - regarding.

Sir \ Madam,

I am directed to refer to this Ministry's communication of even number dated 15<sup>th</sup> January, 2014 and subsequent communication dated 29<sup>th</sup> May, 2017 vide which the new Recruitment Rules for Faculty in the National Institutes of Technology (NITs) and Indian Institute of Engineering Science and Technology (IIEST), Shibpur were conveyed.

2. Many clarifications have been sought by the NITs in respect of above mentioned Recruitment Rules for faculty. Following may please be noted in this regard:-

Clarification sought	Clarification Furnished
A faculty who is regular in the Institute as an Assistant Professor at AGP of Rs.6000/- if selected for Assistant Professor with AGP of 7000/- will she/he be designated as Assistant Professor (on contract) as per new RR.	A regular Assistant Professor with AGP of Rs.6000/- if selected to Assistant Professor with AGP of Rs.7000/- shall be designated as Assistant Professor without

...contd./-

Page 3 of 18

#### F.No.33 - 9 / 2011 - TS.III

3. It is requested to kindly take note of the above while initiating the faculty recruitment process in your Institute as per the RRs prescribed by the Council of NITSER and also incorporated in the Statutes through Gazette Notifications dated 24<sup>th</sup> July. 2017 (NITs & IIEST) and 2<sup>nd</sup> August, 2017 (NIT, Andhra Pradesh).

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4. This issues with the approval of the competent authority in the Ministry.

Yours faithfully,

[Anil Kumar Singh] Under Secretary to the Government of India Tel: 23384897

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 The Chairpersons, Board of Governors of NITS & IIEST, Shibpur for information please.

2. The Registrars of all NITS & IIEST, Shibpur for information and further appropriate action.

Page 4 of 18

#### ANNEXURE - I

#### F.No.33 – 9 / 2011 – TS.III Government of India Ministry of Human Resource Development Department of Higher Education

Shastri Bhawan, New Delhi, dated, the 6<sup>th</sup> October, 2017

To

The Directors of all the National Institutes of Technology (NITs) and Director, IIEST, Shibpur.

# Subject:- Recommendations of the Anomaly Committee on new Recruitment Rules for Faculty in NITs and IIEST – regarding.

Sir \ Madam,

I am directed to refer to this Ministry's communication of even number 29<sup>th</sup> May, 2017 vide which the new Recruitment Rules for Faculty in the National Institutes of Technology (NITs) and Indian Institute of Engineering Science and Technology (IIEST), Shibpur were conveyed and subsequent Order of even number dated 17<sup>th</sup> July, 2017 vide which an Anomaly Committee was constituted to look into the issues arising out of the implementation of the new Recruitment Rules for Faculty.

2. The Anomaly Committee has examined various issues & anomalies emanated out of the new Recruitment Rules and submitted its recommendations to this Ministry. The specific issues identified by the Anomaly Committee and the recommendations of the Anomaly Committee have been examined in this Ministry. After careful examination of the same, the approval of the competent authority is hereby conveyed for the following:-

SI.No.	Issues	Anomalies	Recommendations approved
(i)	The second s	and the second se	The following one time relaxations in

Page 5 of 18

SI.No.	Issues / Anomalies	Recommendations approved
		Rs.8,000/- may be read as Six years after Ph.D. at the level of Assistant Professor. (ii) An existing faculty member with 09 years total working experience, of which three years should be after Ph.D., with at least three years at the level of Assistant Professor with Academic Grade Pay of Rs.8,000/- may be read as Nine years of total working experience with Ph.D. at the level
<b>(ii)</b>	Regarding mapping of existing Associate Professor with AGP of Rs.9,000/- to Rs.9,500/- and Professor with AGP of Rs.10,000/- to Rs.10,500/	of Assistant Professor. A onetime mapping for such existing members from AGP of Rs.9,000/- to Rs.9,500/- and Rs.10,000/- to Rs.10,500/- may be carried out
		An Associate Professor with AGP of Rs.9,000/- and minimum credit points of 50 will be eligible for movement to Associate Professor with AGP of Rs.9,500/- while a Professor with AGP of Rs.10,000 and minimum credit points of 80 will be eligible for movement to Professor with AGP of Rs.10,500/ The calculations of the

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Page 6 of 18

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SI.No.	Issues / Anomalies	Recommendations approved
		credit point will be done as per the Schedule E pertaining to Recruitment Rules for faculty notified by the Ministry of HRD on 24 <sup>th</sup> July, 2017. The credit points will not be considered utilized / exhausted in above cases. The recommendations of above Committee will be approved by the Board of Governors of respective NIT.

3. The cumulative essential credit points are only for deciding the eligibility. The above shall be one time relaxation and will be applicable only if she / he participates in the recruitment process of same NIT where she / he has been working.

4. The other contents of Notification dated 24<sup>th</sup> July, 2017 shall remain unchanged and may be read together with this communication.

5. The NITs are advised to place the recommendations of the Anomaly Committee (as indicated in para 2 above) before the Board of Governors for adoption and ensure strict adherence of the instructions.

6. This issues with the approval of the Chairperson of the Council of NITSER in accordance with the provisions under Section 32 (2) (b) of the National Institutes of Technology, Science Education and Research (NITSER) Act, 2007.

Yours faithfully,

[Anil Kumar Singh] Under Secretary to the Government of India Tel: 23384897

Copy to:-

- The Chairperson, Board of Governors of all the National Institutes of Technology (NITs) and IIEST, Shibpur.
- (ii) Webmaster, Ministry of HRD with a request to upload the communication on the website of the Ministry of HRD.
- (iii) Webmaster, Council of NITs with a request to upload the communication on the website of the Council of NITs.
- (iv) File No.33 9 / 2011 TS.III.
- (v) Guard File.

#### ANNEXURE - III

#### F.No.33 – 9 / 2011 – TS.III Government of India Ministry of Human Resource Development Department of Higher Education

Shastri Bhawan, New Delhi, dated, the 17<sup>th</sup> November, 2017

To

The Directors of all the National Institutes of Technology (NITs) and Director, IIEST, Shibpur.

#### Subject:- Recruitment Rules for Faculty of NITs and IIEST - regarding.

Sir \ Madam,

I am directed to refer to the Gazette Notification dated 24<sup>th</sup> July, 2017 vide which the amendments in the First Statutes of National Institutes of Technology (NITs) and Statutes of Indian Institute of Engineering Science and Technology (IIEST), Shibpur have been notified incorporating thereby the new Recruitment Rules for Faculty in these Institutions.

2. The Ministry vide its letter dated 6<sup>th</sup> October, 2017 communicated the approval towards implementation of the recommendations of Anomaly Committee, which recommended certain relaxations regarding faculty recruitment. In addition to the above, following one time relaxations for existing faculty of NITs / IIEST have been approved:-

Essential Requirements in Recruitment Rules notified on 24.04.2017	Substituted by (one time relaxation for existing faculty)
Professor (PB-4, GP, of Rs 10,500/-	Professor (PB-4, GP of 'Rs.10,500/-
with minimum pay of Rs.48000/-)	with minimum pay of Rs.48000/-)
ten years after Ph.D. or thirteen years	ten years after Ph.D. or thirteen years
total working experience, out of which	total working experience, out of which
seven years should be after Ph.D. At	seven years should be after Ph.D. At
least three years at the level of	least three years at the level of
Associate Professor with Academic	Associate Professor with Academic
Grade Pay of Rs.9500/- or four years	Grade Pay of Rs.9500/- or four years
at the level of Associate Professor with	at the level of Associate Professor with
Academic Grade Pay of Rs.9000/- or	Academic Grade Pay of Rs.9000/- or
combination of Rs.9000/- and	combination of Rs.9000/- and
Rs.9500/- or equivalent in an	Rs.9500/- or equivalent in an
Institution of repute or Research &	Institution of repute or Research &
Development lab or relevant industry.	Development lab or relevant Industry.

Essential Requirements in Recruitment Rules notified on 24.04.2017	Substituted by (one time relaxation for existing faculty)
	OR seventeen years total working experience with Ph.D. (No post Ph.D. experience is required). At least three years at the level of Associate Professor with Academic Grade Pay of
	Rs.9500/- or four years at the level of Associate Professor with Academic Grade Pay of Rs.9000/- or combination of Rs.9000/- and Rs.9500/- or equivalent in an Institution of repute or Research & Development lab or relevant Industry.

3. The other contents of Notification dated 24<sup>th</sup> July, 2017 shall remain unchanged and may be read together with this communication.

4. You are kindly requested to place above in the ensuing meeting of the Board of Governors for adoption.

5. This issues with the approval of the Chairperson of the Council of NITSER in accordance with the provisions under Section 32 (2) (b) of the National Institutes of Technology, Science Education and Research (NITSER) Act, 2007.

Yours faithfully,

Page 9 of 18

[Anil Kumar Singh] Under Secretary to the Government of India Tel: 23384897

Copy to:-

- (i) The Chairperson, Board of Governors of all the National Institutes of Technology (NITs) and IIEST, Shibpur.
- (ii) PS to HRM / PS to MoS (SPS) for kind information.
- (iii) Webmaster, Ministry of HRD with a request to upload the communication on the website of the Ministry of HRD.
- (iv) Webmaster, MNIT, Jaipur with a request to upload the communication on the website of the Council of NITSER.
- (v) Guard File.

#### ANNEXURE - IV

#### GUIDELINES / PROCEDURES TO BE FOLLOWED FOR FACULTY SELECTION IN NITS AND HEST

Consequent upon approval of the Council of the National Institutes of Technology, Science Education and Research (NITSER), the Ministry of Human Resource Development has issued the new Recruitment Rules for faculty on 29<sup>th</sup> May, 2017 and the same have also been notified in the Gazette on 24<sup>th</sup> July, 2017 (in respect of NITs & IIEST, Shibpur) and 2<sup>nd</sup> August, 2017 (in respect of NIT, Andhra Pradesh).

2. As far as the guidelines / procedure to be adopted for selection of faculty as per the new Recruitment Rules approved by the Council, the following is hereby informed that:-

- (a) The qualifications and other terms & conditions of appointment as notified in the Gazette are bare minimum and the Board of Governors can however fix higher benchmarks, higher than the prescribed in consultation with the Council of NITSER.
- (b) All the faculty posts in the Institute shall be filled by direct recruitment only. The procedure for selection of faculty is given in <u>Appendix – A1</u>.
- (c) Fresh appointment beyond the age of 60 years is discouraged except in the case of faculty with exceptionally brilliant research career and with ongoing or approved externally funded research projects.
- (d) There shall be no distinction between external and internal candidates with regard to the requirements of qualification and experience:
- (e) All recruitment and pay-fixation shall be done by the BoGs of the Institutes only on the recommendations of duly constituted Selection Committees. There shall be no scope of fixing of altering pay (pay in pay-band or grade pay) outside the Selection Committee. The Selection Committee shall be the only entity empowered to consider the past services and qualifications of a candidate.
- (f) While there is no rigid formula for distribution of sanctioned posts among the Departments and centres within an Institute, <u>Appendix</u> <u>– A2</u> attached with this communication gives a recipe for distributing sanctioned faculty posts among various Departments of an Institute. But the BOG, on the recommendation of the Director, shall dynamically allocate sanctioned faculty positions among the Departments taking into consideration academic programmes of various Departments, existing quality of faculty, expected retirements and availability of bright candidates.

Page 10 of 18

- (g) There shall be necessary provision for inducting faculty from industry (or comparable organizations) with substantial professional and R&D experience, but not having a Ph.D. degree. If in the opinion of the Selection Committee, candidates have good number (say 10) of publications in leading journals of the field, the requirement of Ph.D. degree may be waived.
- (h) Most leading universities of the world, including the best Institutes of India have an explicit or implicit policy of not inducting their own students into the faculty. To avoid such in-breeding, the NITs & IIEST will follow the following policies:

(i) Candidates who have obtained or are expected to obtain their most recent degree (Ph.D.) from the Institute will normally not be considered for recruitment, except where there is a 3 years' gap (approximately) between leaving the Institute and the expected date of joining.

(ii) This is not applicable to candidates who are already members of the faculty, either regular or on contract, and are pursuing a higher degree in the Institute.

(iii) In special cases, where the Department (at the time of shortlisting) or the Selection Committee feels that an exception needs to be made (for reasons such as severe shortage of faculty in a given academic field or exceptionally brilliant candidate or any other), the reasons for such exceptions are to be recorded in writing and put up to the Board of Governors for approval. The Board, if convinced, may confirm the selection. Such appointments will not serve as precedence.

- (i) In order to keep the number of candidates interviewed within practical limits, Scrutiny Committee may, if it deems fit, reject a candidate on his third or further attempt, if the candidate has failed to win the same post in two previous attempts, (either in scrutiny or selection stage), even if he meets the short-listing criteria, except when there is significant new achievement justifying an exception.
- (j) As decided by the Council of the National Institutes of Technology, Science Education and Research (NITSER), the Institute shall strive to recruit 50% faculty not domicile of that State in which the Institute is located.

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Page 11 of 18

#### PROCEDURE FOR SELECTION OF FACULTY IN NIT SYSTEM

The procedure outlined here has generally, but not exactly, been followed in most of the IITs. The procedure is prescribed as a guideline, without insisting that it be followed religiously. Boards of Governors may opt for alternative procedures after examining their merit vis-à-vis the base line procedure given below.

- The Director will create an "Advisory Committee on Faculty Recruitment (ACoFAR)" with a senior member of the faculty as the Chairman. Normally, he should be the Dean (Faculty Welfare); but Director shall have the discretion to assign the responsibility to Dy. Director or another senior Professor or handle it himself. The Chairman of ACoFAR shall be authorized to communicate with Departments, candidates and experts on the advice of Director. In addition, the Committee shall discharge the following functions:
  - Examine and advise on distribution of faculty positions among various Departments;
  - b) Proactively search for faculty candidates in India and abroad.
  - Assist the Director in examining, short listing criteria and preparing panels of short listed candidates submitted by Departments;
  - Examine and recommend proposals for deviation in age, formal qualifications, industry experience or any other criterion or guideline;
  - Reservation of positions for specialization or sub-specialisation and rank of faculty to be inducted; and
  - f) Proactively search for candidates from reserved categories, and if not available after repeated attempts, prepare proposals for dereservation in accordance with the relevant rules & regulations.
- 2. The Institute will create a panel of experts and update it on annual basis. The list will be prepared by taking inputs from Departments. Director may also add extra names or delete some from the list. Normally, the experts should be drawn from NITs, IITs, IIMs, IISERs, IISc, IIEST, University Departments, major R&D Laboratories (CSIR, ICAR, DAE, ISRO, DRDO etc) and major industry. The list, along with postal and electronic addresses, designations, specialization and other relevant particulars of proposed experts is to be placed before the Senate and then the BoG for their approval. Every higher authority shall have the power to add and delete names. In addition, fellows of INAE and the 3 Science academies will be automatically included in the panel. Every attempt should be made to ensure that major specializations of each Department are adequately represented in the panel.

Page 12 of 18

- While the above is a permanent list, upgraded periodically, preferably every year, the BOG, at its discretion, may permit Director to choose experts for every single selection process from the full panel or from specific sub panels.
- 4. As per NITSER Act, 2007, the visitor shall nominate one member to the Selection Committee. It is observed in practice that being present in all sessions of a selection process (that spreads over two to four weeks) becomes hard on the distinguished professors who serve as Visitor's nominees, and they are often unwilling to spare the time. The Ministry will recommend to the Hon'ble Visitor to nominate a panel of distinguished persons in different subject areas to serve as Visitor's nominees and permit Institutes to invite them as per their availability and convenience.
- The Director will send a copy of the panels approved by the Secretariat of the Council of NITSER for records.
- It is extremely important that the suggested panel of experts is examined critically by the Board and the Ministry and any member with a questionable integrity is removed.
- Prior to a selection process, the Director will choose experts from the approved panels ensuring a reasonable distribution among specializations, and to the extent possible, diversity of background, place of work etc.
- 8. In addition to the expert members of the Selection Committee, the Director, as Chairman of the Committee, may invite observers from SC/ST and minority communities or any other person of repute to instill confidence in the minds of the candidates and of the Institute community.
- 9. On advice of the Director, the Chairman, ACoFAR will seek from the Departments the specific specializations where new faculty is to be recruited. The HoDs will consult senior faculty colleagues and prepare the proposals to the Institute, which will be collated by the Chairman, ACoFAR and placed before the Director for approval. The Director is expected to review the proposals critically and finalize the draft advertisement including specializations, critical dates, newspapers of advertisement and other details.
- 10. Serving regular faculty members shall be eligible to apply for higher positions in their own Departments irrespective of their specializations, if they satisfy other advertised criteria.
- 11. Application may be received on paper, on-line or both, depending on the technological resources of the respective Institute. In addition, the Institute

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Page 13 of 18

will consider applications received against standing advertisement, if any, and unsolicited applications.

- 12. While applications received within the advertised closing date shall definitely be considered, late applications (upto the interview time) may be considered at the discretion and convenience of the administration.
- 13. In addition to the advertisements, all sections of the institute administration - Director, members of ACoFAR, HoDs and all faculty members will make proactive effort to attract applications from prospective candidates, without making any commitment of selection. Such efforts will include postal and email correspondence, telephonic talks and public announcement when there is an opportunity.
- 14. Applications, when received, will be organized, relevant information summarized, and sent to the Departments by the Registry, for short listing. The objectives of short listing are two folds:-
  - (a) to reject applications that do not meet advertised criteria and
  - (b) to select the best candidates from the remaining list so that the member of candidates to be called for interview with the experts remains within manageable limits.
- 15. Departments will make attempt to set "short listing criteria" that can be easily implemented. But, considering the multiple attributes that need to be considered, it may become necessary to make case by case exceptions. In all such cases the general short listing criteria and the reasons for exception, if any, are to be recorded in writing. Short listing criteria may include, among others, such conditions as:
  - superior academic record all through first class career or higher grades in B.Tech/M.Sc/M.Tech, higher than advertised criteria,
  - (ii) reputation of institutions from where the candidate has obtained his degrees.
  - (iii) number of unsuccessful attempts for the same post [Candidates who have been rejected in the past may be called only if there is a good reason, the reason to be recorded in writing.]
  - (iv) specialisation, including micro specialisation,
  - (v) professional service record reputation of organization where experience has been earned, nature of job, current activities etc.
- 16. The Departments' recommendations shall be placed before the Director for the final short-listing. The final list of candidates to meet the Selection Committee will be arrived at in a combined meeting of the Director, the ACoFAR, the HoD and at least three senior faculty members of the Department. In case of a lack of unanimity among the members, the

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Page 14 of 18

Director's decisions shall be final for the purpose of calling a candidate to the interview. The different viewpoints, however, will be recorded in writing and placed before the Selection Committee who may record their own comments for information of the BOG. The decision of the Board on the selection shall be final and binding.

- 17. In addition to formal application, candidates will be required to submit reprints/preprints of publications and list of referees. The PIC will organize collection of references and review of publications by independent referees for short listed candidates, both internal and external.
- 18. The short listed candidates will be invited by the Chairman, ACoFAR or the Registrar for personal interview with the selections committee constituted in accordance with the NITSER Act, 2007 and the Statutes of the respective Institutes. In addition, the individual institutes may seek seminar presentation in the Departments, and/or any other form of academic interaction with the faculty. All such interaction will be open to the faculty and students of the institute and will be well publicized in advance to invite a decent audience. The feedback of the faculty will be communicated to the Selection Committee by the HoD. Candidates located outside the country or otherwise not in a position of attending personal interview, may be interviewed over video conferencing or be selected in absentia at the discretion of the Selection Committee.
- 19. On completion of the interview, the Selection Committee will record its final recommendations with signature of every member present. The Director, as chairman of the committee will be responsible for writing the recommendation. There shall be no scope for retaining individual view points or details of discussion. Any member(s) with a dissenting opinion may, however, rècord their observations. On a separate page (with a reference in the main page that will be presented by the Director to the BoG with his own comments on the observations.
- 20. The Selection Committee shall employ the same yard stick to evaluate all candidates for a post or AGP external, internal and shall prepare a common panel of recommended candidates. Out of this panel, the vacant posts will be filled on the basis of merit without consideration of external or internal candidates.

The Selection Committee, at its discretion, may recommend to retain the panel for a maximum period of one year or next round of selection for the Department, whichever comes earlier, so that vacancies caused during this period can be filled in order of merit.

 Recommendations of the Selection Committees will be placed before the BoG, along with details of sanctioned posts, reservation categories etc, for

Page 15 of 18

final approval and subsequent issue of appointment orders by the Registrar.

22. If a meeting of the BoG is not scheduled within a short period from the meeting of the Selection Committee, the Director, with approval of the Chairman BoG, may seek the approval of members by circulation. While recommendation of the Selection Committee is awaiting approval of the BoG, the Director may, at his discretion, inform successful candidates, but with a clear line stating that such information is awaiting approval of competent authority and is not legally binding.

23. All appointments - regular, internal or external, will be effective from the date of the Board meeting or any later date fixed by the Board. There shall, however, be no pre-dating of an appointment.

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#### APPENDIX - A2

#### DISTRIBUTION OF FACULTY POSTS AMONG DEPARTMENTS

Every institute shall have only a finite member of faculty posts sanctioned by the ministry. The distribution of these positions among the Departments will be flexible to dynamically maximize the number of faculty in position at any given time. It should be appreciated that institutes will be losers and the cause of education will be hampered if faculty positions which could be filled up in other Departments are kept vacant simply because current market scenario is making faculty unavailable in a specific Department. Instead of keeping vacant positions, if additional faculty are inducted in other Departments, they will contribute to (a) elective courses in teaching, particularly those electives that are subscribed to by students across many Departments, (b) research, (c) continuing education, (e) institute, hostel and SAC management etc. A vacant faculty post serves no one. At the same time, it is the responsibility of the Director, and of the Board, to ensure that no Department starves of faculty when candidates are available and posts are used up elsewhere.

The following table may be taken as a guide for computing "normal faculty strength" in any Department.

B Tech Programme (Annual Intake < 50)	= x
B Tech Programme (Annual Intake > 50)	<mark>=</mark> 1.5 x
Dual degree with existing M. Tech. specialization	= 0.1 x
Dual degree with exclusive M. Tech. specialization	= 0.2 x
Additional B Tech Programme (Each programme)	= 0.5 x
M Tech programme (Each programme)	= 0.5 x
M.Sc. (2 years) programme	= 0.5 x
M.Sc (5 years) programme	= x
MBA Programme (Annual Intake <50)	= x
MBA programme (Annual Intake >50)	= 1.5 x
MCA (3 Years) Programme	= x
Common theory courses for 1 <sup>st</sup> & 2 <sup>nd</sup> years (per subject)	= 0.2 x
Common practical courses for 1 <sup>st</sup> & 2 <sup>nd</sup> years (per course)	= 0.1 x

Total = nx x = [Sanctioned faculty strength] ÷ n

Page 17 of 18

The normal strength of every Department shall be computed based on the above scheme, additional factors taken into consideration, rounded and approved by the Institute Senate to serve as a guideline for all future recruitment. In case of serious disagreement among members the Senate, the decision of the BOG shall be binding.

The above prescription is based on a principle of equal sharing of teaching responsibility among all faculty members irrespective of rank. In contrast with the prescription of AICTE, professors of NIT are expected to take up a larger share of the teaching job, particularly in large classes and in common fundamental subjects. This principle has the merit of providing better education in basic subjects, it frees younger faculty to pursue research, particularly those who are enrolled in Ph.D programmes. Experienced faculty are also expected to spend less time in preparing for classes and spend the rest of the time in institute management.

Additional factors shall include, but will not be limited to, expected student strengths in common courses, open electives, being normally offered by the Department, common subjects among M Tech specializations, strength of M Tech courses etc. In general, Departments and centers can be classified into two for three groups depending on the above formula and faculty strength calculated for each group.

\* \* \*

#### FORMAT OF CERTIFICATE REQUIRED TO BE ENCLOSED BY IN-SERVICE CANDIDATES

#### **INTEGRITY CERTIFICATE**

After scrutinizing ACR/APAR of Dr.\_\_\_\_\_ who has applied for the post of \_\_\_\_\_\_ in National Institute

of Technology Manipur, it is certified that his/ her integrity is beyond doubt.

Date:

**Authorized signatory** 

Name & Office Seal

#### VIGILANCE CLEARANCE CERTIFICATE

Certified that no vigilance case or disciplinary proceedings or criminal proceeding is either pending or contemplated against Dr.\_\_\_\_\_\_ who has applied for the post of \_\_\_\_\_\_ in National Institute of Technology Manipur.

Authorized signatory Name & Office Seal

Date: